

A large, stylized letter 'S' is the central focus, rendered with a vibrant gradient from purple to blue to green. A diagonal banner with a similar gradient is superimposed over the middle of the 'S', containing the German word 'HAUPTVERSAMMLUNG' in white, uppercase letters. The background is a complex composition of overlapping geometric shapes and gradients in shades of blue, purple, and green.

# Annual General Meeting

June 3, 2026

Convocation notice including agenda



# Convocation of the Annual General Meeting 2026 of DWS Group GmbH & Co. KGaA Frankfurt/Main

We hereby cordially invite our shareholders to the

Annual General Meeting 2026, held as an in-person meeting on Wednesday, June 3, 2026, at 10:00 a.m. Central European Summer Time (CEST), at the Congress Center Messe Frankfurt, Ludwig-Erhard-Anlage 1, 60327 Frankfurt am Main.

Admission is from 09:00 a.m.

Unique Identifier of the event: **07ff6124a701f111b552ec75f1f2e92d**

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## Agenda for the Annual General Meeting of DWS Group GmbH & Co. KGaA

1. Presentation of the Annual Financial Statements and Consolidated Financial Statements for fiscal year 2025, the Summarized Management Report for DWS Group GmbH & Co. KGaA and the group for fiscal year 2025 and the Report of the Supervisory Board, all having been approved by the Supervisory Board; Resolution to adopt the Annual Financial Statements of DWS Group GmbH & Co. KGaA for fiscal year 2025

In accordance with Section 171 in conjunction with Section 278 (3) Stock Corporation Act (Aktiengesetz), the Supervisory Board has reviewed and approved the annual and consolidated financial statements prepared by the General Partner. Under Section 286 (1) Stock Corporation Act, the General Meeting is responsible for adopting the annual financial statements. The above

documents must otherwise be made available to the General Meeting without the need for a resolution.

The General Partner and the Supervisory Board propose that the Annual Financial Statements of DWS Group GmbH & Co. KGaA for fiscal year 2025, in the form in which they were presented and showing distributable profit of € 999,713,337.57 be adopted.

### 2. Appropriation of distributable profit for the 2025 fiscal year

The General Partner and the Supervisory Board propose to appropriate the distributable profit for the 2025 fiscal year in the amount of € 999,713,337.57 as follows:

- Distribution of an amount of € 600,000,000.00 as a dividend (equivalent to € 3.00 per share eligible for the payment of a dividend for the 2025 fiscal year).
- Carry-forward the remaining amount of € 399,713,337.57 to new account.

Pursuant to Section 58 (4) sentence 2 in conjunction with Section 278 (3) Stock Corporation Act, the claim to payment of the dividend is due on the third business day following the resolution of the General Meeting, i.e. on June 8, 2026.

### 3. Ratification of the acts of management of the General Partner for fiscal year 2025

The General Partner and the Supervisory Board propose that the acts of management of the General Partner be ratified for fiscal year 2025.

### 4. Ratification of the acts of management of the members of the Supervisory Board for fiscal year 2025

The General Partner and the Supervisory Board propose that the acts of management of the members of the Supervisory Board in office during fiscal year 2025 be ratified for this period.

5. Election of the auditor of the annual financial statements and the auditor of the consolidated financial statements, interim financial reports, election of the auditor for the sustainability reporting

The Supervisory Board, based on the recommendation of its Audit and Risk Committee, proposes the following resolution:

- 5.1 EY GmbH & Co. KG Wirtschaftsprüfungsgesellschaft, Stuttgart, ("EY"), is appointed as the auditor of the annual financial statements and as the auditor of the consolidated financial statements for the fiscal year 2026.

EY is also appointed to perform the limited review of the condensed financial statements and the interim management report (Sections 115 (5), 117 no. 2 Securities Trading Act) as of June 30, 2026 and any other interim financial reports (Section 115 (7) Securities Trading Act) prepared prior to the Annual General Meeting in 2027.

On the basis of a selection procedure carried out in accordance with Article 16 of the EU Statutory Audit Regulation, the Audit and Risk Committee recommended that the Supervisory Board propose to the Annual General Meeting that either EY GmbH & Co. KG Wirtschaftsprüfungsgesellschaft, Stuttgart, or Forvis Mazars GmbH & Co. KG Wirtschaftsprüfungsgesellschaft Steuerberatungsgesellschaft, Hamburg, be appointed as auditor for the audited services mentioned above. It stated that it preferred EY GmbH & Co. KG Wirtschaftsprüfungsgesellschaft, Stuttgart.

The Audit and Risk Committee has declared that its recommendation was free from undue influence by third parties and that no clause restricting choice within the meaning of Article 16 (6) EU Audit Regulation had been imposed.

- 5.2 EY GmbH & Co. KG Wirtschaftsprüfungsgesellschaft, Stuttgart, is appointed as auditor for the purpose of confirming the sustainability reporting für the fiscal year 2026 with effect from the entry into force of the law implementing the Corporate Sustainability Reporting Directive into German law ("CSRD Implementation Act"). The Supervisory Board is instructed to implement the resolution only in the event that the CSRD Implementation Act requires that the sustainability reporting to be produced for the financial year 2026 be confirmed externally by an auditor appointed by the General Meeting.

## 6. Resolution on approval of the compensation report

Pursuant to Section 162 in conjunction with Section 278 (3) Stock Corporation Act, a compensation report must be prepared by the General Partner and the Supervisory Board and presented to the Annual Shareholders' Meeting for approval in accordance with Section 120a (4) in conjunction with Section 278 (3) Stock Corporation Act.

The compensation report was audited by the independent auditor in accordance with Section 162 (3) in conjunction with Section 278 (3) Stock Corporation Act to verify that the information required under Section 162 (1) and (2) in conjunction with Section 278 (3) Stock Corporation Act was provided. Above and beyond the legal requirements, the statutory auditor also audited the content of the compensation report. The report on the audit of the compensation report is attached to the compensation report.

The compensation report for fiscal year 2025 and the the report on the audit are pursuant to Section 124a sentence 1 no. 4 in connection with Section 278 (3) Stock Corporation Act available

from the date of convocation on the Company's website at <https://group.dws.com/ir/annual-general-meeting>. They will also be available there during the Annual General Meeting.

The General Partner and the Supervisory Board propose that the compensation report for fiscal year 2025, which has been prepared and audited in accordance with Section 162 in conjunction with Section 278 (3) Stock Corporation Act, be approved.

## 7. Election to the Supervisory Board

Ms. Ute Wolf has decided to resign her Supervisory Board mandate at the time of the end of the ordinary General Meeting on June 3, 2026. One shareholders' representative should therefore be re-elected.

Pursuant to Sections 96 (1), 101 (1) in conjunction with Section 278 (3) Stock Corporation Act, Section 4 (1) German Act on One-Third Employee Participation in the Supervisory Board (Gesetz über die Drittelbeteiligung der Arbeitnehmer im Aufsichtsrat) and Section 10 (1) of the Company's Articles of Association, the Supervisory Board is composed of eight members being elected by the General Meeting and four employee representatives being elected in accordance with the Act on One-Third Employee Participation on the Supervisory Board.

Pursuant to Section 10 (2) of the Articles of Association of the Company, the members of the Supervisory Board are elected for the period until conclusion of the General Meeting which adopts the resolutions concerning the ratification of acts of management for the fourth financial year following the beginning of the term of office. Here, the financial year in which the term of office begins is not taken into account. The General Meeting may determine a shorter term of office for shareholder representatives upon such election.

The Supervisory Board, based on the recommendation of the shareholders' representatives of its Nomination Committee, proposes that the following person be elected as shareholders' representative to the Supervisory Board for the period until the end of the General Meeting that resolves on the ratification of the acts of management for the 2029 fiscal year:

Mr. Bas NieuweWeme, former Chief Executive Officer (CEO) of Aegon Holding B.V., Amsterdam, Netherlands.

Mr. NieuweWeme is not a member of any other statutory supervisory board. He is currently a member in the following comparable supervisory bodies of business enterprises within Germany and abroad:

- Member of the supervisory board of DMFCO Asset Management B.V., Den Haag, Netherlands (non-listed company)

- Independent Non-Executive Director of Clearwater Analytics Holdings, Inc., Boise, ID, USA (listed company)

Based on the Supervisory Board's evaluation, the candidate does not have any personal or business relations with DWS Group GmbH & Co. KGaA or its affiliated companies, the governing bodies of DWS Group GmbH & Co. KGaA, the Executive Board of the General Partner, or shareholders holding a material interest in DWS Group GmbH & Co. KGaA that would be subject to disclosure pursuant to recommendation C.13 of the German Corporate Governance Code (version dated April 28, 2022).

In the opinion of the Supervisory Board, Mr. NieuweWeme is to be regarded as independent within the meaning of the German Corporate Governance Code.

The election proposal accords with the target set by the Supervisory Board pursuant to Section 111 (5) Stock Corporation Act for female representation on the Supervisory Board. According to the target, at least 30% of Supervisory Board members should be women. There are currently five female members of the Supervisory Board in total, which means this target has been achieved and would continue to be met also after the election of the proposed candidate.

The election proposal also reflects the objectives resolved by the Supervisory Board for its composition pursuant to recommendation C.1 of the German Corporate Governance Code (version dated April 28, 2022) and are intended to fulfill as comprehensively as possible the profile of requirements (skills and expertise) adopted by the Supervisory Board.

Mr. NieuweWeme will not reach the maximum age limit of in general 75 years for members of the Supervisory Board during the proposed appointment period as defined by the Supervisory Board.

The Supervisory Board expects – also based on a discussion with Mr. NieuweWeme – that he can devote the expected amount of time to his Supervisory Board work.

Mr. NieuweWeme's resume is presented in the "Reports and Notices" section that follows in this Agenda.

**8. Cancellation of the authorized capital pursuant to Section 4 (4) of the Articles of Association and creation of new authorized capital for capital increases in cash and/or contribution in kind (with the possibility of excluding shareholders' pre-emptive rights, also in accordance with Section 186 (3) sentence 4 of the Stock Corporation Act) and corresponding amendment to the Articles of Association**

Pursuant to Article 4 (4) of the Articles of Association, the General Partner is authorized to increase the share capital on or before June 5, 2027, once or more than once by up to a total of € 20,000,000

through the issues of new shares against cash payments and/or contribution in kind (Authorized Capital 2024/I).

To date, this authorization created by resolution of the General Meeting on June 6, 2024, under Agenda Item 8 has not been utilized. To be able to cover short-term capital needs in the future, as well, a new authorized capital is to be created that has essentially the same structure as the previous authorized capital pursuant to Section 4 (4) of the Articles of Association but with a new period. At the same time, the currently unutilized authorized capital pursuant to Section 4 (4) of the Articles of Association is to be cancelled.

The General Partner and Supervisory Board propose the following resolution:

a) The authorized capital created by resolution of the General Meeting on June 6, 2024, under Agenda Item 8 pursuant to Section 4 (4) of the Articles of Association shall be cancelled with effect from the entry of the following resolution of Authorized Capital 2026/I in the Commercial Register of DWS Group GmbH & Co. KGaA.

b) The General Partner is authorized to increase the share capital on or before June 2, 2029, once or more than once, by up to a total of € 20,000,000 through the issue of new shares against cash payments and/or contribution in kind (Authorized Capital 2026/I). Shareholders are to be granted pre-emptive rights. However, the General Partner is authorized to except broken amounts from shareholders' pre-emptive rights. The General Partner is further authorized to exclude the pre-emptive rights if the capital is increased against contribution in kind for the purpose of acquiring enterprises or holdings in enterprises. Finally, the General Partner is authorized to exclude the pre-emptive rights if the capital is increased against cash contribution, the issue price of the new shares is not significantly lower than the quoted price of the shares already listed at the time of the final determination of the issue price and the shares issued in accordance with Section 186 (3) sentence 4 Stock Corporation Act do not exceed in total 10% of the share capital at the time the authorization becomes effective or – if the value is lower – at the time the authorization is utilized. Shares that are issued or sold during the validity of this authorization with the exclusion of preemptive rights, in direct or analogous application of Section 186 (3) sentence 4 Stock Corporation Act, are to be included in the maximum limit of 10% of the share capital. Also to be included are shares that are to be issued to service options and/or conversion rights from convertible bonds, bonds with warrants, convertible participatory rights or participatory rights, if these bonds or participatory rights are issued during the validity of this authorization with the exclusion of pre-emptive rights in corresponding application of Section 186 (3) sentence 4 Stock Corporation Act.

The General Partner may make use of the authorizations above to exclude pre-emptive rights only to the extent that the proportional amount of the newly issued shares with the exclusion of pre-emptive rights does not exceed 10% of the share capital. Decisive for calculating the 10% limit is the amount of share capital at the time this authorization becomes effective. Should the amount of share capital be lower at the time this authorization is exercised, this amount is decisive. If, during the period of this authorization until its utilization, use is made of other authorizations to issue

company shares or to issue rights that enable or obligate the subscription of the company's shares and pre-emptive rights are excluded in the process, this is to be counted towards the 10% limit specified above.

Resolutions of the General Partner to utilize authorized capital and to exclude pre-emptive rights require the Supervisory Board's approval. The new shares may also be taken up by banks specified by the General Partner with the obligation to offer them to shareholders (indirect pre-emptive right).

c) The previous Section 4 (4) of the Articles of Association, which includes the cancelled authorized capital specified in letter a) above, is deleted and Section 4 of the Articles of Association has the following new paragraph 4:

“(4) The General Partner is authorized to increase the share capital on or before June 2, 2029, once or more than once, by up to a total of € 20,000,000 through the issue of new shares against cash payments and/or contribution in kind (Authorized Capital 2026/I). Shareholders are to be granted pre-emptive rights. However, the General Partner is authorized to except broken amounts from shareholders' pre-emptive rights. The General Partner is further authorized to exclude the pre-emptive rights if the capital is increased against contribution in kind for the purpose of acquiring enterprises or holdings in enterprises. Finally, the General Partner is authorized to exclude the pre-emptive rights if the capital is increased against cash contribution, the issue price of the new shares is not significantly lower than the quoted price of the shares already listed at the time of the final determination of the issue price and the shares issued in accordance with Section 186 (3) sentence 4 Stock Corporation Act do not exceed in total 10% of the share capital at the time the authorization becomes effective or – if the value is lower – at the time the authorization is utilized. Shares that are issued or sold during the validity of this authorization with the exclusion of pre-emptive rights, in direct or analogous application of Section 186 (3) sentence 4 Stock Corporation Act, are to be included in the maximum limit of 10% of the share capital. Also to be included are shares that are to be issued to service options and/or conversion rights from convertible bonds, bonds with warrants, convertible participatory rights or participatory rights, if these bonds or participatory rights are issued during the validity of this authorization with the exclusion of pre-emptive rights in corresponding application of Section 186 (3) sentence 4 Stock Corporation Act.

The General Partner may make use of the authorizations above to exclude pre-emptive rights only to the extent that the proportional amount of the newly issued shares with the exclusion of pre-emptive rights does not exceed 10% of the share capital. Decisive for calculating the 10% limit is the amount of share capital at the time this authorization becomes effective. Should the amount of share capital be lower at the time this authorization is exercised, this amount is decisive. If, during the period of this authorization until its utilization, use is made of other authorizations to issue company shares or to issue rights that enable or obligate the subscription of the company's shares

and pre-emptive rights are excluded in the process, this is to be counted towards the 10% limit specified above.

Resolutions of the General Partner to utilize authorized capital and to exclude pre-emptive rights require the Supervisory Board's approval. The new shares may also be taken up by banks specified by the General Partner with the obligation to offer them to shareholders (indirect pre-emptive right).”

#### 9. Cancellation of the authorized capital pursuant to Section 4 (5) of the Articles of Association, creation of new authorized capital for capital increases in cash (with the possibility of excluding pre-emptive rights to except broken amounts) and corresponding amendment to the Articles of Association

Pursuant to Article 4 (5) of the Articles of Association, the General Partner is authorized to increase the share capital on or before June 5, 2027, once or more than once by up to a total of € 60,000,000 through the issues of new shares against cash payments (Authorized Capital 2024/II).

To date, this authorization created by resolution of the General Meeting on June 6, 2024, under Agenda Item 9 has not been utilized. To be able to cover short-term capital needs in the future, as well, a new authorized capital is to be created that essentially has the same structure as the previous authorized capital pursuant to Section 4 (5) of the Articles of Association but with a new period. At the same time, the currently unutilized authorized capital pursuant to Section 4 (5) of the Articles of Association is to be cancelled.

The General Partner and Supervisory Board propose the following resolution:

a) The authorized capital created by resolution of the General Meeting on June 6, 2024, under Agenda Item 9 pursuant to Section 4 (5) of the Articles of Association shall be cancelled with effect from the entry of the following resolution of Authorized Capital 2026/II in the Commercial Register of DWS Group GmbH & Co. KGaA.

b) The General Partner is authorized to increase the share capital on or before June 2, 2029, once or more than once, by up to a total of € 60,000,000 through the issue of new shares against cash payments (Authorized Capital 2026/II). Shareholders are to be granted pre-emptive rights. However, the General Partner is authorized to except broken amounts from shareholders' pre-emptive rights.

The General Partner may make use of the authorizations above to exclude pre-emptive rights only to the extent that the proportional amount of the newly issued shares with the exclusion of pre-emptive rights does not exceed 10% of the share capital. Decisive for calculating the 10% limit is the amount of share capital at the time this authorization becomes effective. Should the amount of share capital be lower at the time this authorization is exercised, this amount is decisive. If, during the period of this authorization until its utilization, use is made of other authorizations to issue company shares or to issue rights that enable or obligate the subscription of the company's shares

and pre-emptive rights are excluded in the process, this is to be counted towards the 10% limit specified above.

Resolutions of the General Partner to utilize authorized capital and to exclude pre-emptive rights require the Supervisory Board's approval. The new shares may also be taken up by banks specified by the General Partner with the obligation to offer them to shareholders (indirect pre-emptive right).

c) The previous Section 4 (5) of the Articles of Association, which includes the cancelled authorized capital specified in letter a) above, is deleted and Section 4 of the Articles of Association has the following new paragraph 5:

“(5) The General Partner is authorized to increase the share capital on or before June 2, 2029, once or more than once, by up to a total of € 60,000,000 through the issue of new shares against cash payments (Authorized Capital 2026/II). Shareholders are to be granted pre-emptive rights. However, the General Partner is authorized to except broken amounts from shareholders' pre-emptive rights.

The General Partner may make use of the authorization above to exclude preemptive rights only to the extent that the proportional amount of the newly issued shares with the exclusion of pre-emptive rights does not exceed 10% of the share capital. Decisive for calculating the 10% limit is the amount of share capital at the time this authorization becomes effective. Should the amount of share capital be lower at the time this authorization is exercised, this amount is decisive. If, during the period of this authorization until its utilization, use is made of other authorizations to issue company shares or to issue rights that enable or obligate the subscription of the company's shares and pre-emptive rights are excluded in the process, this is to be counted towards the 10% limit specified above.

Resolutions of the General Partner to utilize authorized capital and to exclude pre-emptive rights require the Supervisory Board's approval. The new shares may also be taken up by banks specified by the Management Board with the obligation to offer them to shareholders (indirect pre-emptive right).”

#### 10. Cancellation of the existing and creation of a new authorization to issue participatory notes and other hybrid debt securities that fulfill the regulatory requirements to qualify as Additional Tier 1 Capital (AT1 Capital)

The General Partner is authorized by resolution of the General Meeting on June 6, 2024, under Agenda Item 10, on or before June 5, 2027, once or more than once, to issue bearer or registered participatory notes or other hybrid debt securities that fulfil the regulatory requirements to qualify as Additional Tier 1 Capital (AT1 Capital).

To date, the company has not made use of the authorization. To have the necessary scope to be able to strengthen its capital basis with regulatory capital, the existing authorization that has not yet

been used shall be cancelled and a new authorization with the same amount and a longer term shall be resolved.

The General Partner and Supervisory Board propose the following resolution:

a) The authorization resolved by the General Meeting on June 6, 2024, under Agenda Item 10 to issue participatory notes and other hybrid debt securities that fulfill the regulatory requirements to qualify as Additional Tier 1 Capital (AT1 Capital) shall be cancelled with effect from the point in time the resolution of the following authorization taking effect.

b) The General Partner is authorized to issue bearer or registered participatory notes, once or more than once, on or before June 2, 2029. The participatory notes must meet the requirements of European law, which calls for capital paid up to grant participatory rights to be attributable to the company's Additional Tier 1 Capital.

The General Partner is further authorized to issue, instead of or besides participatory notes, on or before June 2, 2029, once or more than once, other hybrid financial instruments with a perpetual maturity that fulfill the requirements specified above but that are possibly not classified by law as participatory rights if their issue requires the approval of the General Meeting pursuant to Section 221 of the Stock Corporation Act due to, for example, their dividend-dependent return or other reasons (hereinafter these instruments are referred to as “Hybrid Debt Securities”).

The total nominal amount of all participatory notes and/or Hybrid Debt Securities to be issued under this authorization shall not exceed a total value of € 500 million.

The participatory notes and Hybrid Debt Securities may be issued in Euro or in the official currency of an OECD member country, as long as the corresponding Euro equivalent is not exceeded. Besides cash, consideration for the issue of the participatory notes and/or Hybrid Debt Securities may also be provided as contributions in kind that carry value and that are specified by the company, in particular, also in the form of existing bonds or participatory rights that are to be replaced by the new instruments.

c) In the case of the issue of participatory rights or Hybrid Debt Securities by the company, shareholders are in principle entitled to the statutory pre-emptive right. The General Partner is, however, authorized, with the consent of the Supervisory Board, to exclude shareholders' pre-emptive rights insofar as the issue price is not substantially lower than the theoretical market value of the participatory notes or Hybrid Debt Securities established using recognized actuarial methods.

To the extent the General Partner makes no use of the preceding possibility to exclude pre-emptive rights, it is authorized, with the consent of the Supervisory Board, to except broken amounts arising as a result of the subscription ratio from shareholders' pre-emptive rights.

The General Partner will carefully check whether the exclusion of shareholders' pre-emptive rights is also in the company's interests and thus also in the interests of shareholders. The Supervisory Board will also only grant its required consent if, in its opinion, these prerequisites are met. In the

event the General Partner utilizes the preceding authorization, the General Partner will report on this at the next General Meeting.

The participatory notes and/or Hybrid Debt Securities may also be taken up by banks specified by the General Partner with the obligation to offer them to the shareholders (indirect pre-emptive right).

The General Partner is authorized, with the consent of the Supervisory Board, to determine further details concerning the issuance and features of the issue, in particular the volume, timing, interest rate and issue price, or to do so in consultation with the executive and non-executive management bodies of the holding company floating the issue.

## Reports and notices

### Ad Item 7

## Resume and additional information regarding the candidate proposed for election to the Supervisory Board under Agenda Item 7



### Bas NieuweWeme

Place of residence: Amsterdam, Netherlands

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#### Personal

Year of birth: 1972  
Nationality: Dutch

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#### Position

Former Chief Executive Officer of Aegon Asset Management, B.V., Amsterdam, Netherlands

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#### Professional career

2019 – 2024 Aegon Asset Management Holding B.V., Amsterdam, Netherlands, Chief Executive Officer (CEO)  
Aegon Ltd. (formerly Aegon N.V.), Amsterdam, Netherlands, Management Board Member

2016 – 2019 PGIM Inc., Newark, NJ, USA, (asset management business of Prudential Financial, Inc.)  
Managing Director, Global Head of Institutional Relationship Group and Client Advisory Group

2001 – 2016 VOYA Investment Management LLC, New York, NY, USA (formerly ING Investment Management Americas LLC),  
Managing Director, Global Head of Institutional Distribution and previous positions, including Head of Institutional Sales and Relationship Management, Institutional Sales, Global Marketing, Distribution and Client Service

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#### Education

1992 – 2000 Master of Laws (LLM), University of Amsterdam (UvA)

2006 – 2007 Executive MBA (Master of Business Administration), New York University (NYU) Stern School of Business

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#### Memberships in domestic supervisory boards to be established by law

None

#### Memberships in comparable supervisory bodies

Member of the Supervisory Board of DMFCO Asset Management B.V., Den Haag, Netherlands (unlisted company)

Independent Non-Executive Director of Clearwater Analytics Holdings, Inc., Boise, ID, USA (listed company)

## Total number of shares and voting rights

At the time of convocation of this General Meeting, the Company's share capital is divided up into 200,000,000 no-par value bearer shares, each of which confers one vote on its holder. At the time of convocation of this General Meeting, the Company holds no treasury shares. Therefore, the total number of shares bearing participation and voting rights amounts to 200,000,000.

## Ordinary General Meeting with the physical presence of shareholders or their authorized representatives (proxies) (physical General Meeting)

This year's General Meeting will be held as physical meeting in which shareholders and authorized representatives can participate on site at Congress Center of Messe Frankfurt, Ludwig-Erhard-Anlage 1, 60327 Frankfurt am Main.

We ask our shareholders to consider the following information, in particular on the participation in the General Meeting and the exercise of the voting right, the right to request information and further shareholders' rights.

## Registration for the General Meeting and exercise of voting rights; admission ticket/shareholder portal

### Registration and confirmation of share ownership

Pursuant to Section 22 (1) of the Articles of Association, only those shareholders who register with the Company and prove their eligibility in due time are entitled to participate in the General Meeting and exercise the voting right.

As evidence of authorization, a separate confirmation of the shareholding issued in text form by the depository bank is sufficient (Section 22 (2) sentence 1 of the Articles of Association); confirmation pursuant to Section 67c (3) Stock Corporation Act is sufficient but not necessary.

The confirmation must relate to the close of business of the twenty second day before the General Meeting, in other words the close of business of May 12, 2026, (the "record date"). According to the legislative material on the Future Financing Act (ZuFinG) of 11 December 2023, closing of business means 24:00 (here: CEST).

Pursuant to Section 22 (3) sentence 1 of the Articles of Association, the registration and the confirmation of eligibility must be in German or English and must be received by the Company no later than 24:00 CEST on May 27, 2026, by one of the following means of contact:

- in text form:
  - o Postal address: DWS Group GmbH & Co. KGaA  
c/o Computershare Operations Center  
80249 München
  - o E-mail: [anmeldestelle@computershare.de](mailto:anmeldestelle@computershare.de)
- or pursuant to Section 67c (1) and (2) Stock Corporation Act via intermediaries to the following SWIFT address:

SWIFT: CMDHDEMXXX; instructions according to ISO 20022;  
Authorization via SWIFT Relationship Management Application (RMA) required

In relation to the Company, in accordance with Section 123 (4) sentence 5 in conjunction with Section 278 (3) Stock Corporation Act, a shareholder will only be deemed a shareholder entitled to participate in the General Meeting and exercise the voting right if the shareholder has duly submitted the confirmation of share ownership. The number of votes is determined on the sole basis of the shareholding confirmed as of the record date. The record date does not give rise to a blocking period during which it is prohibited to dispose of shares. Even in the event of a full or partial disposal of the shareholding following the record date, only the shares held by the shareholder on the record date will be relevant for the number of votes, i.e., a disposal of shares after the record date will not affect the number of the votes. This applies mutatis mutandis if (additional) shares are acquired after the record date. Persons who do not hold any shares on the record date and become shareholders only after the record date are not entitled to exercise voting rights unless they have obtained a power of attorney to do so or an authorization to exercise such rights. The record date is not relevant with respect to the entitlement to dividends.

### Admission ticket/shareholder portal

After the Company has received a duly completed registration and confirmation of share ownership from a shareholder (see above), an admission ticket for the General Meeting will be issued by the registration office to the shareholder. To ensure that admission tickets are received in a timely manner, we request that shareholders register and send their confirmation of share ownership to the Company in good time. For the avoidance of doubt, please note that admission tickets are provided for purely organizational purposes and do not constitute additional conditions for the

exercise of shareholder rights. Should the admission ticket not be received in due time despite proper registration, the relevant participation documents may still be issued on site on the day of the Annual General Meeting.

The admission ticket also includes information necessary to vote by means of electronic absentee voting via the shareholder portal or to grant an electronic power of attorney and voting instructions to the Company proxies. The foregoing does not affect the option to submit an absentee vote, power of attorney and voting instructions in writing or text form without using the shareholder portal (which nevertheless also requires due registration and confirmation of share ownership). Further details are described in the following sections.

### Audio-visual broadcast of the General Meeting

The entire General Meeting will be broadcast live with video and audio on June 3, 2026, from 10:00 CEST, via the secured shareholder portal (<https://group.dws.com/ir/annual-general-meeting>) for registered shareholders. In addition, the General Meeting will be broadcast via live stream to the interested public on the Company's website. The video and audio broadcast of the General Meeting does not enable participation in the General Meeting within the meaning of Section 118 (1) sentence 2 and Section 118a Stock Corporation Act.

### Exercise of voting right

#### Submitting absentee votes

Shareholders may submit the votes through absentee voting. In this case, shareholders must also duly register and provide confirmation of share ownership as set forth above in the section "Registration and confirmation of share ownership".

Voting by absentee vote, revocation and amendment can be done by electronic communication via the shareholder portal (<https://group.dws.com/ir/annual-general-meeting>), which will also be available on the day of the General Meeting until the point in time set by the Chairman of the General Meeting in the context of the respective vote. The Chairman of the General Meeting will inform you in good time when the entry option ends. The use of the shareholder portal requires the entry of the access data recorded on the admission ticket, which is issued after due registration and confirmation of share ownership.

Voting by absentee vote, revocation and amendment are, in addition, possible by post or e-mail and should in this case be received by the Company for organizational reasons by June 2, 2026, 18:00 CEST (receipt) at one of the addresses listed above in section "Registration and confirmation

of share ownership".

For absentee votes by post or e-mail, please use as far as possible the form printed on the admission ticket sent after proper registration and confirmation of share ownership, or a printout of the registration form on the Company's website

<https://group.dws.com/ir/annual-general-meeting>.

Voting by absentee vote does not preclude participation in the General Meeting. However, personal attendance at the General Meeting shall be deemed a revocation of any absentee votes already cast. This also results in any exercise of voting rights via the shareholders' portal – irrespective of the time at which it is carried out – being disregarded for such shares.

Authorized representatives, including authorized intermediaries (such as credit institutions), shareholder associations, proxy advisors and other persons treated as such in accordance with Section 135 (8) Stock Corporation Act (but not the proxies appointed by the companies), may also use absentee votes in accordance with the above-described rules, subject to compliance with the aforementioned deadlines.

### Exercise of voting rights by proxies appointed by the Company

The Company offers its shareholders the possibility of being represented by Company employees appointed by the Company as proxies to exercise shareholders' voting rights at the General Meeting. Those shareholders who wish to grant power of attorney to the proxies appointed by the Company also have to register for the General Meeting and prove their eligibility as set forth above under the section "Registration and confirmation of share ownership". The Company proxies will only vote in accordance with the instructions issued to them by the respective shareholder. To the extent that such express and clear instructions are not issued, the Company proxies will abstain from the vote in question.

The issue of the power of attorney together with instructions to the proxies appointed by the Company, the revocation of the power of attorney and the amendment of issued instructions are possible by post or e-mail or according to Section 67c (1) and (2) Stock Corporation Act also via intermediaries (see above section "Registration and confirmation of share ownership") and should in this case be received by the Company for organizational reasons by June 2, 2026, 18:00 CEST (receipt) at one of the addresses listed above in section "Registration and confirmation of share ownership".

It is also possible to grant power of attorney and issue voting instructions to the Company proxies in advance of and also during the General Meeting on June 3, 2026, until the point in time set by

the Chairman of the General Meeting (the Chairman of the General Meeting will give notice in good time before the window for doing so closes) via the shareholder portal (<https://group.dws.com/ir/annual-general-meeting>). The shareholder portal is accessed using the login data indicated on the admission ticket sent following due registration and confirmation of share ownership.

Please note that the Company proxies will not accept authorizations or instructions to exercise rights, to ask questions or to speak, submit proposals or ask follow-up questions or file objections to resolutions adopted at the General Meeting. The Company proxies are entitled to grant sub-proxies; the above provisions shall apply mutatis mutandis to any such sub-proxies.

On the day of the General Meeting, power of attorney and voting instructions to the Company proxies may also be granted, amended or revoked at the special counter located in the entrance area.

If shareholders wish to attend the General Meeting in person or through a representative on site in Frankfurt despite having already granted a proxy and issued voting instructions to the proxies appointed by the Company, this is possible; however, such attendance shall be deemed a revocation of the previously granted proxy and voting instructions to the Company's proxies. This also results in any exercise of voting rights via the shareholder portal – irrespective of the time at which it is carried out – being disregarded for such shares.

### Exercise of voting rights by authorized representatives

Shareholders may also be represented in the exercise of their voting rights by an authorized representative (proxy) – for example an intermediary, shareholders' association, proxy advisor or other third person. In this case, shareholders must also register and provide confirmation of share ownership as set forth above in the section "Registration and confirmation of share ownership".

If a third party is authorized to exercise voting rights, who is neither an intermediary nor a shareholders' association, a proxy adviser or another person with equivalent status pursuant to Section 135 (8) Stock Corporation Act, the granting of the authorization, its revocation and the proof of the authorization to the company require the text form.

The granting of the power of attorney, its revocation and the proof of the power of attorney can be done via the shareholder portal (<https://group.dws.com/ir/annual-general-meeting>), which will also be available for this purpose during the General Meeting. Alternatively, they may also be transmitted to the Company by post or e-mail or, in accordance with Section 67c (1) and (2) Stock Corporation Act, also via intermediaries (as described above in the section "Registration and confirmation of share ownership") and should in these cases be received by the Company for

organizational reasons by June 2, 2026, 18:00 CEST (entry) at the latest at one of the addresses listed above in section "Registration and confirmation of share ownership".

For granting a power of attorney to a third party by post or by email, we kindly ask you, where possible, to use the personalized registration form that will be sent to you by post together with the invitation letter.

If powers of attorney to exercise voting rights are issued to intermediaries, shareholders' associations, proxy advisors within the meaning of Section 134a (1) no. 3, (2) no. 3 Stock Corporation Act or other persons with equivalent status pursuant to Section 135 (8) Stock Corporation Act, the requisite form for these is specified, where appropriate, by the recipients. The details of any specific requirements must be obtained from the person to be authorized in each case.

If a shareholder grants power of attorney to a third person, the use of the shareholder portal by the representative requires that the login data is provided to him in due time.

### Requesting documents for the General Meeting

Documents for the General Meeting can be requested at the following address:

DWS Group GmbH & Co. KGaA  
Investor Relations  
Mainzer Landstr. 11-17  
60329 Frankfurt am Main  
IR-Hotline: +49 69 910-14700  
E-mail: [investor.relations@dws.com](mailto:investor.relations@dws.com)

Documents for and additional information concerning the General Meeting are also available online at <https://group.dws.com/ir/annual-general-meeting>. The documents will also be available at that web address during the General Meeting.

### Shareholders' rights

#### Requests for additions to the agenda pursuant to Section 122 (2) in conjunction with Section 278 (3) Stock Corporation Act

Shareholders whose aggregate shareholdings represent 5% of the share capital or the proportionate

amount of € 500,000 (the latter of which corresponds to 500,000 shares) may request that items be placed on the agenda and published.

The request must be received by the Company in writing under the following address at least 30 days prior to the General Meeting, in other words at the latest by 24:00 CEST on May 3, 2026:

DWS Group GmbH & Co. KGaA  
General Partner DWS Management GmbH  
The Management of the General Partner  
Mainzer Landstr. 11 - 17  
60329 Frankfurt am Main

Each new item of the Agenda must also include a reason or a resolution proposal. An applicant or applicants making such a request must prove that they have owned their shares for at least 90 days before the date of the receipt of the request by the Company and that they will continue to hold the shares until the decision of the Company's General Partner on the request. The provisions of Sections 70 and 121 (7) Stock Corporation Act, in each case in conjunction with Section 278 (3) Stock Corporation Act, must be observed in determining this period.

Additions to the Agenda requiring publication will be published in the Federal Gazette (Bundesanzeiger) without undue delay upon receipt of the request, including the name and place of residence or registered office of the applicant, unless such publication has already been made together with the notice of the Annual General Meeting. In addition, they will be published on the Company's website under <https://group.dws.com/ir/annual-general-meeting> and brought to the attention of the shareholders.

### Shareholders' counterproposals and election proposals pursuant to Section 126 (1), 127 in conjunction with Section 278 (3) Stock Corporation Act

Shareholders of the Company may submit counterproposals to proposals of the General Partner and/or the Supervisory Board in respect of specific items of the Agenda, as well as election proposals in the meaning of Sections 126, 127 Stock Corporation Act before the General Meeting. Such proposals (with their reasons) and election proposals are to be sent solely to:

DWS Group GmbH & Co. KGaA  
Investor Relations  
Mainzer Landstr. 11-17  
60329 Frankfurt am Main  
E-mail: [investor.relations@dws.com](mailto:investor.relations@dws.com)

Counterproposals should stipulate a reason; this does not apply to election proposals.

Shareholders' counterproposals and election proposals that fulfill the requirements and are received at the latest by 24:00 CEST on May 19, 2026 will be made accessible without undue delay through the website <https://group.dws.com/ir/annual-general-meeting> along with the name of the shareholder and, specifically in the case of counterproposals, the reason, as well as any comments by the management.

The Company is not required to make a counterproposal and its reason or an election proposal accessible if one of the exclusionary elements pursuant to Section 126 (2) in conjunction with Section 278 (3) Stock Corporation Act or Section 127 sentence 1 in conjunction with Section 126 (2) in conjunction with Section 278 (3) Stock Corporation Act exists, for example, because the counterproposal or election proposal would lead to a resolution by the General Meeting that violates the law or the Articles of Association or its reason contains manifestly false or misleading information with regard to material points. Furthermore, an election proposal does not have to be made accessible if the proposal does not contain the name, the current occupation and the place of residence of the proposed candidate, and in case of election proposals for the supervisory board, the proposed candidate's membership on other statutory supervisory boards, cf. Section 127 sentence 3 in conjunction with Section 124 (3) sentence 4 and Section 125 (1) sentence 5 in conjunction with Section 278 (3) Stock Corporation Act. The reason for a counterproposal does not need to be made accessible if its total length is more than 5,000 characters.

Please note that counterproposals or election proposals, even if they have been submitted to the Company in advance in due time, will only be taken into consideration at the General Meeting if they are put forward there. In addition, shareholders or their authorized representatives have the right to put forward counterproposals, election proposals and other motions at the General Meeting without any requirement to have transmitted such motion or election proposal beforehand in accordance with Sections 126 and 127 Stock Corporation Act.

## Right to request information pursuant to Section 131 in conjunction with Section 278 (3) Stock Corporation Act

Within the general meeting, each participating shareholder or shareholder representative may request information from the General Partner regarding the affairs of the Company to the extent this is necessary for the appropriate assessment of the items on the Agenda (cf. Section 131 (1) sentence 1 in conjunction with Section 278 (3) Stock Corporation Act). The obligation to provide information also extends to the Company's legal and business relations with any affiliated enterprises as well as to the situation of the group and enterprises included in the group financial statement.

Section 131 (4) sentence 1 in conjunction with Section 278 (3) Stock Corporation Act stipulates that if information has been provided to a shareholder outside the general meeting in his capacity as a shareholder, it shall be provided to any other shareholder or his representative at his request during the general meeting, even if it is not necessary for the proper assessment of the item on the Agenda.

Section 131 (5) sentence 1 in conjunction with Section 278 (3) Stock Corporation Act stipulates that where a shareholder's or his representative's request for information is refused, he may demand that his question and the grounds for refusing to provide information be included in the minutes of the General Meeting.

The statutory speaking time and time for putting questions during the General Meeting can only be availed of with attendance in person by the shareholder or his authorized representative at the General Meeting. Requests for information are to be put forward at the General Meeting verbally.

Pursuant to Section 24 (2) sentence 1 of the Articles of Association, the Chairman of the General Meeting directs the proceedings and determines the sequence of speakers and the sequence in which items on the agenda are dealt. Pursuant to Section 24 (2) sentence 2 of the Articles of Association, he may in the course of the General Meeting determine appropriate restrictions on the speaking time, the time for putting questions and/or the total time available in general for speaking and putting questions or for individual speakers.

## Additional information

Additional information on shareholders' rights can be found on the Company's website at <https://group.dws.com/ir/annual-general-meeting>.

## Notice on the Company's website

The information pursuant to Section 124a Stock Corporation Act in conjunction with Section 278 (3) Stock Corporation Act with respect to this year's Annual General Meeting is accessible on the Company's website at <https://group.dws.com/ir/annual-general-meeting>. Following the General Meeting, the voting results will be announced at the same internet address.

## Privacy notice for shareholders and their representatives

Information on the processing of your personal data in connection with the General Meeting is available at <https://group.dws.com/ir/annual-general-meeting>. Upon request, this can also be sent to you via post.

Frankfurt/Main, April 2026

DWS Group GmbH & Co. KGaA,  
represented by:  
DWS Management GmbH, its General Partner

The Managing Directors (Executive Board)

### Note:

***This version of the Convocation notice of the Annual General Meeting 2026 is an English convenience translation of the German original. For purposes of interpretation, the German text shall be authoritative and final.***