

A large, stylized number '2' is the central graphic element. It is composed of two overlapping, rounded shapes. The top shape is a light blue-to-purple gradient, and the bottom shape is a purple-to-pink gradient. A white ribbon-like shape cuts across the middle of the '2', containing the text 'HAUPTVERSAMMLUNG'.

HAUPTVERSAMMLUNG

# Annual General Meeting

June 3, 2026

Information on Agenda Item 1 and  
Shareholders' Rights

1) Information on Agenda Item 1 pursuant to Section 124a sentence 1 no. 2 in conjunction with Section 278 (3) Stock Corporation Act

Item 1 of the Agenda of the General Meeting of DWS Group GmbH & Co. KGaA is:

Presentation of the Annual Financial Statements and Consolidated Financial Statements for fiscal year 2025, the Summarized Management Report for DWS Group GmbH & Co. KGaA and the group for fiscal year 2025 and the Report of the Supervisory Board, all having been approved by the Supervisory Board; Resolution to adopt the Annual Financial Statements of DWS Group GmbH & Co. KGaA for fiscal year 2025

The Supervisory Board reviewed and approved the annual financial statements and consolidated financial statements prepared by the General Partner in accordance with Section 171 Stock Corporation Act. In accordance with Section 286 (1) Stock Corporation Act, the General Meeting only resolves on the adoption of the annual financial statements with the consent of the General Partner.

No resolution is to be passed on the other documents submitted in this respect:

The conditions under which the General Meeting would have to approve the consolidated financial statements pursuant to Section 173 (1) Stock Corporation Act are not met. Section 283 nos. 9 and 10 in conjunction with Section 175 (1) sentence 1 Stock Corporation Act merely stipulate that the General Meeting shall receive the management report, the consolidated financial statements approved by the Supervisory Board and the group management report (and that the General Meeting shall resolve on the appropriation of a balance sheet profit, which is submitted for resolution under Agenda Item 2). The report of the Supervisory Board (Section 171 (2) Stock Corporation Act) does also not require a resolution by the General Meeting.

2) Information pursuant to Section 121 (3) sentence 3 no. 3 Stock Corporation Act regarding the rights of the shareholders as set forth in Sections 122 (2), 126 (1), 127, 131 Stock Corporation Act (each in conjunction with Section 278 (3) Stock Corporation Act)

The invitation to the General Meeting includes information regarding the rights of shareholders pursuant to Sections 122 (2), 126 (1), 127, 131 Stock Corporation Act (each in conjunction with Section 278 (3) Stock Corporation Act). The following information is intended for further information purposes.

2.1 Requests for additions to the agenda pursuant to Section 122 (2) in conjunction with Section 278 (3) Stock Corporation Act

Pursuant to Section 122 (2) in conjunction with Section 278 (3) Stock Corporation Act, shareholders whose aggregate shareholdings represent one-twentieth of the share capital or the proportionate amount of € 500,000 (the latter corresponds to 500,000 shares) may request that items be placed on the Agenda and published. Each new item of the Agenda must also include a reason or a resolution proposal. Requests must be addressed in writing (Section 126 Civil Code) to the General Partner of DWS Group GmbH & Co. KGaA ("the company" or "DWS KGaA") and received by the Company at least 30 days before the General Meeting; the day of the General Meeting and the day of receipt are not included in this calculation. Based on this, the last possible date for the receipt of requests is May 3, 2026, 24:00 hours (CEST). Requests received after this date will not be considered. The address of the General Partner is as follows:

DWS Group GmbH & Co. KGaA  
General Partner DWS Management GmbH  
Management Board of the General Partner  
Mainzer Landstr. 11-17  
60329 Frankfurt am Main  
Germany

Pursuant to Section 122 (2) in conjunction with (1) in conjunction with Section 278 (3) Stock Corporation Act, shareholders making such requests must prove that they have held the required number of shares for at least 90 days prior to the day the request is received and that they will hold the shares until the General Partner decides on the request. Section 121 (7) Stock Corporation Act is to be applied accordingly to the calculation of the period. According to this, the days are counted back, whereby the day on which the request is received shall not be included, and any move from a Sunday, Saturday or public holiday to a preceding or subsequent business day shall not be possible. Sections 187 to 193 Civil Code shall not be applied accordingly. For purposes of proof, it is sufficient to provide an equivalent note of confirmation issued by the institution where the securities account is held. A claim to the transfer of ownership vis-à-vis a credit institution, financial services provider, investment firm or an enterprise operating pursuant to Section 53 (1) sentence 1 or Section 53b (1) sentence 1 or (7) Banking Act is considered to be the same as ownership. The period during which the share was owned by a predecessor in title shall be attributed to the

shareholder, provided that he / she has acquired the share without payment from his / her fiduciary, as a successor in title by operation of law, in connection with the dissolution of a community of interests or as a result of a transfer of assets in accordance with Section 13 Insurance Supervision Act or Section 14 Building and Loan Associations Act (cf. Section 70 Stock Corporation Act).

Additional agenda items that are to be published – if they have not already been published upon convening the meeting – will be published in the German Federal Gazette (*Bundesanzeiger*) without delay after the Company receives them and forwarded for publication to such media that can be expected to distribute the information throughout the European Union. Furthermore, without delay after receipt by the Company, the additional agenda items will be made accessible on the website <https://group.dws.com/ir/annual-general-meeting> and announced to all shareholders.

Below is the wording of the regulations of the Stock Corporation Act upon which this shareholder right is based:

*Section 122 (1) and (2) Stock Corporation Act (Convening of a meeting at the request of a minority)*

*(1) The general meeting shall be convened if shareholders having an aggregate shareholding amounting to one-twentieth of the share capital, request such a meeting in writing, stating the purpose of and reasons for such a meeting; such a request shall be addressed to the management board. The articles of association may provide that the right to request convening of a general meeting shall require another form or the holding of a lower proportion of the share capital. Persons submitting a request must prove that they have held the shares for at least 90 days before the date the request is received and that they hold the shares until the management board decides on the request. Section 121 (7) shall be applied accordingly.*

*(2) In the same manner, shareholders with an aggregate shareholding of one-twentieth of the share capital or who represent an amount of the share capital corresponding to € 500,000, may request that items are placed on the agenda and published. Each new item shall be accompanied by an explanation or a resolution proposal. The request in the sense of sentence 1 shall be provided to the company at least 24 days, in the case of listed companies at least 30 days prior to the meeting; the day of receipt shall not be included in this calculation.*

*Section 278 Stock Corporation Act (Nature of the public partly limited partnership)*

*(3) In all other cases, the provision of Book 1 relating to the stock corporation applies accordingly to the public partly limited partnership unless anything to the contrary is stipulated in the provisions set out below or results from the lack of a management board.*

**2.2 Shareholders' proposals and election proposals pursuant to Section 126 (1), 127 Stock Corporation Act (each in conjunction with Section 278 (3) Stock Corporation Act)**

According to Sections 126 and 127 in conjunction with Section 278 (3) Stock Corporation Act, every shareholder is entitled to have his/her counterproposal or election proposal made accessible to the persons listed in Section 125 (1) to (3) in conjunction with Section 278 (3) Stock Corporation Act based on the requirements stipulated therein. If shareholders wish for these to be made accessible, counterproposals (with their reasons) and election proposals are to be sent solely to:

DWS Group GmbH & Co. KGaA  
Investor Relations  
Mainzer Landstr. 11-17  
60329 Frankfurt am Main  
Germany  
E-mail: [investor.relations@dws.com](mailto:investor.relations@dws.com)

Counterproposals or election proposals that are addressed differently will generally not be considered. Counterproposals should stipulate a reason; this does not apply to election proposals (however, they should contain, if they are to be made publicly available, the information specified in Section 124 (3) sentence 4 and Section 125 (1) sentence 5 in conjunction with Section 278 (3) Stock Corporation Act).

Counterproposals within the meaning of Section 126 in conjunction with Section 278 (3) Stock Corporation Act and election proposals in the meaning of Section 127 Stock Corporation Act in conjunction with Section 278 (3) Stock Corporation Act will be published along with any comments by management on the website <https://group.dws.com/ir/annual-general-meeting> together with the name of the shareholder and, as applicable, the reasons, provided these are received by the Company at least 14 days before the General Meeting, whereby the day of receipt and the day of the General Meeting are not to be counted. Based on this, the last possible date for the receipt of proposals is May 19, 2026, 24:00 hours (CEST). There is no obligation to

publish counterproposals and election proposals – even when the aforementioned deadlines have been met – for the cases laid down in Section 126 (2) in conjunction with Section 278 (3) Stock Corporation Act, and additionally for election proposals in case of Section 127 sentence 3 in conjunction with Section 278 (3) Stock Corporation Act.

Below is the wording of the regulations of the Stock Corporation Act upon which these shareholders' rights are based and which also set out the requirements under which it is possible to refrain from publishing counterproposals and election proposals:

#### *Section 126 Stock Corporation Act (Proposals by shareholders)*

*(1) Proposals by shareholders together with the shareholder's name, a statement of grounds for the proposal and any comments of the management shall be made available to the relevant persons entitled pursuant to Section 125 (1) to (3) under the conditions stated therein if at least 14 days before the meeting the shareholder has sent to the address stated in the notice convening the meeting a counterproposal against a proposal of the management board and supervisory board with respect to a particular item on the agenda, including a statement of grounds for the counterproposal. The date of receipt shall not be taken into account. In the case of listed companies, publishing shall be made available on the company's website. Section 125 (3) shall apply correspondingly.*

*(2) A counterproposal and the statement of grounds for the proposal need not be published if*

- 1. the management board would become criminally liable by reason of making available the counterproposal and the grounds therefor,*
- 2. the counterproposal would result in a resolution of the general meeting which would be illegal or would violate the articles of association,*
- 3. the grounds contain statements which are manifestly false or misleading in material respects or which are libellous,*
- 4. a counterproposal of such shareholder based on the same facts has already been published with respect to a general meeting of the company pursuant to Section 125,*
- 5. the same counterproposal of such shareholder on essentially identical grounds has already been published pursuant to Section 125 to at least two general meetings of the company within the past five years and at such general meetings less than one-twentieth of the share of capital represented has voted in favour of such counterproposal,*
- 6. the shareholder indicates that he will neither attend nor be represented at the general meeting, or*
- 7. within the past two years at two general meetings the shareholder has failed to make or cause to be made on his behalf a counterproposal communicated by him.*

*The statement of the grounds need not be published if its total length is more than 5,000 characters.*

*(3) If several shareholders make counterproposals for resolution in respect to the same subject matter, the management board may combine such counterproposals and the respective statements of the grounds*

*(4) [...]*

#### *Section 127 sentence 1 to 3 Stock Corporation Act (Election proposals by shareholders)*

*<sup>1</sup>Section 126 shall apply analogously to a proposal by a shareholder for the election of members of the supervisory board or external auditors. <sup>2</sup>Such proposal need not be substantiated. <sup>3</sup>The management board is under no obligation to make available the proposal unless it contains the information required under Section 124 (3) sentence 4 and Section 125 (1) sentence 5.*

#### *Section 124 (3) sentence 4 Stock Corporation Act (Publication of re-quests for supplements; proposals for resolutions)*

*<sup>4</sup>The proposal for the election of members of the supervisory board or external auditors shall state their name, profession and place of residence.*

#### *Section 125 (1) sentence 1 and 5, (2), (3) Stock Corporation Act (Communications to shareholders and supervisory board members)*

*(1)<sup>1</sup> The management board of a company that has not exclusively issued registered shares shall, at least 21 days before the meeting, announce the convening of the meeting to the following:*

1. *Intermediaries that have shares of the company in custody,*
2. *shareholders and intermediaries who requested the announcement,*
3. *shareholders' associations which requested the announcement or had exercised voting rights on behalf of shareholders in the preceding general meeting.*

[...]

<sup>5</sup>*In the case of listed companies, any proposal for the election of supervisory board members must be accompanied by details on their membership in other supervisory boards whose establishment is required by law; details on membership in comparable domestic and foreign controlling bodies of economic enterprises should also be provided.*

- (2) *The management board of a company that has issued registered shares shall provide the same announcement to those who are registered as in the company's share register at the beginning of the 21st day before the meeting as well as to the shareholders and intermediaries who requested the announcement and to the shareholders' associations which requested the announcement or had exercised voting rights on behalf of shareholders in the preceding general meeting.*
- (3) *Each member of the supervisory board may request that the management board send the same communications to him.*

### 2.3 Right to request information pursuant to Section 131 in conjunction with Section 278 (3) Stock Corporation Act

Within the general meeting, each shareholder may request information from the General Partner regarding the affairs of the Company to the extent this is necessary for the appropriate assessment of the items on the Agenda (cf. Section 131 (1) sentence 1 in conjunction with Section 278 (3) Stock Corporation Act). The obligation to provide information also extends to the Company's legal and business relations with any affiliated enterprises as well as to the situation of the group and enterprises included in the group financial statement.

Section 131 (4) sentence 1 in conjunction with Section 278 (3) Stock Corporation Act stipulates that if information has been provided to a shareholder outside the general meeting in his capacity as a shareholder, it shall be provided to any other shareholder or his representative at his request during the general meeting, even if it is not necessary for the proper assessment of the item on the Agenda. Requests for information are to be put forward verbally in the General Meeting during the debate.

Section 131 (5) sentence 1 in conjunction with Section 278 (3) Stock Corporation Act stipulates that where a shareholder's or his representative's request for information is refused, he may demand that his question and the grounds for refusing to provide information be included in the minutes of the General Meeting.

The statutory speaking time and time for putting questions during the General Meeting can only be availed of with attendance in person by the shareholder or his authorized representative at the General Meeting. Requests for information are to be put forward at the General Meeting verbally.

Pursuant to Section 24 (2) sentence 1 of the Articles of Association, the Chairman of the General Meeting directs the proceedings and determines the sequence of speakers and the sequence in which the items on the agenda are dealt with. Pursuant to Section 24 (2) sentence 2 of the Articles of Association, in the course of the General Meeting, he may determine appropriate restrictions on the speaking time, the time for putting questions and/or the total time available in general for speaking and putting questions or for individual speakers.

Below is the wording of the regulations of the Stock Corporation Act upon which these shareholders' rights are based:

#### *Section 131 Stock Corporation Act (Right of shareholders to request information)*

- (1) *Upon request, each shareholder shall be provided with information by the management board at the general meeting regarding the Company's affairs to the extent that such information is necessary for a proper evaluation of the item on the agenda. The duty to provide information shall also extend to the legal and business relations of the Company with an affiliated company. If a company makes use of the simplifications pursuant to Section 266 (1) sentence 3, Section 276 or Section 288 Commercial Code, each shareholder may demand that the annual financial statements be presented to him at the general meeting on the annual financial statements in the form which would have been used without these simplifications. The duty of the management board of a parent company (Section 290 (1), (2) Commercial Code) to provide information at the general meeting at which the consolidated financial statements and the group management report are presented also extends to the situation of the Group and the companies included in the consolidated financial statements.*

(1a) [...]

(1b) [...]

(1c) [...]

(1d) [...]

(1e) [...]

(1f) [...]

(2) *The information shall comply with the principles of conscientious and faithful accountability. The Articles of Association or the Rules of Procedure pursuant to Section 129 may authorize the chairman of the meeting to impose reasonable time limits on the shareholder's right to ask questions and to speak and may specify further details.*

(3) *The management board may refuse to provide information,*

*1. insofar as the provision of the information is likely, according to sound business judgment, to cause not inconsiderable disadvantage to the Company or an affiliated company;*

*2. insofar as it relates to tax valuations or the amount of individual taxes;*

*3. about the difference between the value at which items are shown in the annual balance sheet and a higher value of these items, unless the general meeting ascertains the annual financial statements;*

*4. on the accounting and valuation methods, insofar as the disclosure of these methods in the notes suffices to provide a true and fair view of the net assets, financial position and results of operations of the Company within the meaning of Section 264 (2) Commercial Code; this shall not apply if the general meeting ascertains the annual financial statements;*

*5. insofar as the management board would render itself liable to prosecution by providing the information;*

*6. insofar as, in the case of a credit institution, a financial services institution or a securities institution, information need not be provided on the accounting and valuation methods applied and the calculations made in the annual financial statements, management report, consolidated financial statements or group management report;*

*7. insofar as the information is continuously accessible on the company's website for at least seven days prior to the beginning and during the general meeting.*

*Information may not be refused for other reasons.*

(4) *If information has been provided to a shareholder outside the general meeting in his capacity as a shareholder, it shall be provided to any other shareholder at his request during the general meeting, even if it is not necessary for the proper assessment of the item on the agenda. [...] The management board may not refuse to provide information in accordance with (3) sent. 1 nos. 1 to 4. Sentence 1 to 3 shall not apply if a subsidiary (Section 290 (1), (2) Commercial Code), a joint venture (Section 310 (1) Commercial Code) or an associated company (Section 311 (1) Commercial Code) provides the information to a parent company (Section 290 (1), (2) Commercial Code) for the purpose of including the company in the consolidated financial statements of the parent company and the information is required for this purpose.*

(5) *If a shareholder is refused information, he may request that his question and the reason for which the information was refused be recorded in the minutes of the meeting. [...]*

#### *Section 24 (2) sentence 1 and 2 of the Articles of Association*

*The Chairman of the General Meeting directs the proceedings and determines the sequence of speakers and the sequence in which the items on the agenda are dealt with. In the course of the General Meeting he may determine appropriate restrictions on the speaking time, the time for putting questions and/or the total time available in general for speaking and putting questions or for individual speakers.*

*This version of the Information on Agenda Item 1 and Shareholders' Rights is an English convenience translation of the German original. For purposes of interpretation, the German text shall be authoritative and final.*