
Financial Services Act – Information for DWS CH AG

The following disclosures contain information about the financial services provider DWS CH AG and provide information in connection with the Swiss Financial Services Act, which came into force on January 1, 2020.

Contact:

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www.dws.ch

Scope of activity

The purpose of DWS CH AG is to provide services of all kinds in the financial sector, in particular investment advice and asset management, including acting as a manager of collective assets, as well as Swiss representative of foreign collective investment schemes and offering domestic and foreign financial instruments in accordance with financial institutions, financial services and collective investment scheme legislation. The clients serviced by DWS CH AG are predominantly collective investment schemes as well as pension funds, foundations, insurance companies and other institutional investors.

Supervisory status and responsible authority

DWS CH AG is authorized to act as a manager of collective assets as well as a representative for collective investment schemes and is being supervised by FINMA. The authorization to operate as a manager of collective assets also authorizes an entity to operate as a portfolio manager.

Swiss Financial Market Supervisory Authority FINMA
Laupenstrasse 27
CH - 3003 Berne
+41 31 327 91 00

General risks associated with financial instruments

Investing in financial products inherits certain risks. Your relationship manager will inform you about the specific risks and costs of an investment prior to an agreed financial service. Our aim is to provide you with an individual consultation, tailored to your needs and questions.

Contact point for client complaints

As a first point of contact, we kindly ask you to reach out to your relationship manager, if you have any questions about DWS CH AG or the provided services.

If the dialogue with DWS CH AG does not lead to a solution and you would like to settle any potential disputes or legal claims by means of mediation, please contact the following neutral ombudsman's office, to which DWS CH AG has affiliated itself:

Verein Ombudsstelle Finanzdienstleister (OFD)
Bleicherweg 10
CH - 8022 Zurich
ombudsman@ofdl.ch

Legal Information

The information above is available for clients of DWS CH AG. No warranty for completeness and correctness of the information provided is made and DWS CH AG assumes no liability for it. In particular, these information may not be considered as marketing material and neither an offer nor investment advice on financial services or financial products is intended or hereby implied. Contractual arrangements between DWS CH AG and its clients shall take precedence over these general provisions.