

Information pursuant to Table 3 of the Implementing Regulation (EU) 2018/1212 for the notification pursuant to Section 125(1), (5) Stock Corporation Act

The following overview provides information pursuant to Section 125(1), (5) sentence 1 Stock Corporation Act in conjunction with Art. 4(1), Table 3 in the Annex of the Implementing Regulation (EU) 2018/1212 („EU-Regulation”). Detailed information, in particular on the agenda, on the resolution proposals made by the General Partner and the Supervisory Board, on the participation requirements, on the exercise of voting rights through absentee voting, on the exercise of voting rights by authorized representatives and on the various shareholder rights can be found in the notice of convocation which has been published in the Federal Gazette (*Bundesanzeiger*) and is also available on the company’s website at <https://group.dws.com/ir/annual-general-meeting>.

TYPE OF INFORMATION	DESCRIPTION
A. Specification of the Message	
1. Unique identifier of the event	Virtual Annual General Meeting of DWS Group GmbH & Co. KGaA on June 9, 2021 Format of the EU-Regulation: 66fd6ecd949beb11811c005056888925
2. Type of the message	Convocation of the General Meeting Format of the EU-Regulation: NEWM
B. Specification of the Issuer	
1. ISIN	DE000DWS1007
2. Name of issuer	DWS Group GmbH & Co. KGaA
C. Specifications of the Meeting	
1. Date of the General Meeting	June 9, 2021 Format of the EU-Regulation: 20210609
2. Time of the General Meeting	10:00 hrs CEST Format of the EU-Regulation: 8:00 hrs UTC
3. Type of the General Meeting	Annual General Meeting as virtual event without the physical presence of shareholders or their representatives (proxies) Format of the EU-Regulation: GMET
4. Location of the General Meeting	Location in the meaning of the Stock Corporation Act: Mainzer Landstrasse 11-17, 60329 Frankfurt am Main. Physical presence of shareholders or their representatives (with exception of the proxies appointed by the company) at the meeting location in the meaning of the Stock Corporation Act will not be possible. URL of the company’s secure Shareholder Portal with access to the video and audio broadcast and for the exercise of shareholder rights: https://group.dws.com/ir/annual-general-meeting
5. Record Date ¹	May 18, 2021, 24:00 hrs CEST Format of the EU-Regulation: 20210518, 22:00 hrs UTC
6. Uniform Resource Locator (URL)	https://group.dws.com/ir/annual-general-meeting

¹ Please note that according to the formal requirements of the Implementing Regulation (EU) 2018/1212, the so-called Technical Record Date is a banking date that does not correspond to the record date (*Nachweisstichtag*) under stock corporation law within the meaning of Section 123(4) sentence 2 Stock Corporation Act. For further information on the record date under stock corporation law (*Nachweisstichtag*) and its meaning, please refer to the notice of convocation.

D. Participation in the General Meeting – Access to the Video and Audio Broadcast

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| 1. Method of the participation by shareholder | Access to the video and audio broadcast (publicly available)
(does not constitute a participation in the General Meeting in the meaning of the Stock Corporation Act or an exercise of voting rights)
Format of the EU-Regulation: OT |
| 2. Issuer deadline for the notification of participation | No deadline |
| 3. Issuer deadline for the voting | n/a |

D. Participation in the General Meeting – Submitting Absentee Votes

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| 1. Method of the participation by shareholder | Submitting absentee votes (also available for authorized representatives)
(constitutes an exercise of voting rights only, but not a participation in the General Meeting in the meaning of the Stock Corporation Act)
Format of the EU-Regulation: EV, PX |
| 2. Issuer deadline for the notification of participation | Appropriate registration for submitting absentee votes:
June 2, 2021, 24:00 hrs CEST
Format of the EU-Regulation: 20210602, 22:00 hrs UTC |
| 3. Issuer deadline for the voting | Depending on the communication channel:
a)
Submission by post:
June 8, 2021, 18:00 hrs CEST
Format of the EU-Regulation: 20210608, 16:00 hrs UTC
b)
Electronic communication via the secure Shareholder Portal:
Submitting absentee votes as well as amending and revoking such votes:
June 9, 2021, until voting on the agenda items commences
Format of the EU-Regulation: 20210609, until voting on the agenda items commences |

D. Participation in the General Meeting – Granting Proxy Authorization and Issuing Instructions to the Proxies Appointed by the Company

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| 1. Method of the participation by shareholder | Granting proxy authorization and issuing instructions to the proxies appointed by the company (also available for authorized representatives)
Format of the EU-Regulation: PX |
| 2. Issuer deadline for the notification of participation | Appropriate registration to attend the General Meeting:
June 2, 2021, 24:00 hrs CEST
Format of the EU-Regulation: 20210602, 22:00 hrs UTC |
| 3. Issuer deadline for the voting | Depending on the communication channel:
a)
Submission in text form:
June 8, 2021, 18:00 hrs CEST
Format of the EU-Regulation: 20210608, 16:00 hrs UTC
b)
Electronic communication via the secure Shareholder Portal:
Granting proxy authorization and issuing instructions to the proxies appointed by the company as well as amending and revoking authorizations and instructions:
June 9, 2021, until voting on the agenda items commences
Format of the EU-Regulation: 20210609, until voting on the agenda items commences |

E. Agenda – Agenda Item 1

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| 1. Unique identifier of the agenda item | 1 |
| 2. Title of the agenda item | Presentation of the Annual Financial Statements and Consolidated Financial Statements for fiscal year 2020, the Summarized Management Report and the Consolidated Management Report for fiscal year 2020 and the Report of the Supervisory Board, all having been approved by the Supervisory Board; Resolution to adopt the Annual Financial Statements of DWS Group GmbH & Co. KGaA for fiscal year 2020 |
| 3. Uniform Resource Locator (URL) of the material | https://group.dws.com/ir/annual-general-meeting |

4. Vote	Binding vote Format of the EU-Regulation: BV
5. Alternative voting options	Vote in favour, vote against, abstention Format of the EU-Regulation: VF, VA, AB

E. Agenda – Agenda Item 2

1. Unique identifier of the agenda item	2
2. Title of the agenda item	Appropriation of distributable profit for the 2020 fiscal year
3. Uniform Resource Locator (URL) of the material	https://group.dws.com/ir/annual-general-meeting
4. Vote	Binding vote Format of the EU-Regulation: BV
5. Alternative voting options	Vote in favour, vote against, abstention Format of the EU-Regulation: VF, VA, AB

E. Agenda – Agenda Item 3

1. Unique identifier of the agenda item	3
2. Title of the agenda item	Ratification of the acts of management of the General Partner for fiscal year 2020
3. Uniform Resource Locator (URL) of the material	https://group.dws.com/ir/annual-general-meeting
4. Vote	Binding vote Format of the EU-Regulation: BV
5. Alternative voting options	Vote in favour, vote against, abstention Format of the EU-Regulation: VF, VA, AB

E. Agenda – Agenda Item 4

1. Unique identifier of the agenda item	4
2. Title of the agenda item	Ratification of the acts of management of the members of the Supervisory Board for fiscal year 2020
3. Uniform Resource Locator (URL) of the material	https://group.dws.com/ir/annual-general-meeting
4. Vote	Binding vote Format of the EU-Regulation: BV
5. Alternative voting options	Vote in favour, vote against, abstention Format of the EU-Regulation: VF, VA, AB

E. Agenda – Agenda Item 5

1. Unique identifier of the agenda item	5
2. Title of the agenda item	Election of the auditor of the annual financial statements and the auditor of the consolidated financial statements, interim financial statements
3. Uniform Resource Locator (URL) of the material	https://group.dws.com/ir/annual-general-meeting
4. Vote	Binding vote Format of the EU-Regulation: BV
5. Alternative voting options	Vote in favour, vote against, abstention Format of the EU-Regulation: VF, VA, AB

E. Agenda – Agenda Item 6

1. Unique identifier of the agenda item	6
2. Title of the agenda item	Resolution on approval of the compensation system for the Managing Directors of the General Partner

3. Uniform Resource Locator (URL) of the material	https://group.dws.com/ir/annual-general-meeting
4. Vote	Advisory vote Format of the EU-Regulation: AV
5. Alternative voting options	Vote in favour, vote against, abstention Format of the EU-Regulation: VF, VA, AB

E. Agenda – Agenda Item 7

1. Unique identifier of the agenda item	7
2. Title of the agenda item	Resolution on the confirmation of the compensation of Supervisory Board members including the compensation system
3. Uniform Resource Locator (URL) of the material	https://group.dws.com/ir/annual-general-meeting
4. Vote	Binding vote Format of the EU-Regulation: BV
5. Alternative voting options	Vote in favour, vote against, abstention Format of the EU-Regulation: VF, VA, AB

E. Agenda – Agenda Item 8

1. Unique identifier of the agenda item	8
2. Title of the agenda item	Resolution on the amendment of Section 2(1) of the Articles of Association to align it with Regulation (EU) No 2019/2033 (Investment Firm Regulation – IFR)
3. Uniform Resource Locator (URL) of the material	https://group.dws.com/ir/annual-general-meeting
4. Vote	Binding vote Format of the EU-Regulation: BV
5. Alternative voting options	Vote in favour, vote against, abstention Format of the EU-Regulation: VF, VA, AB

F. Specification of the Deadlines Regarding the Exercise of Other Shareholders Rights – Requests for Additions to the Agenda Pursuant to Section 122(2) Stock Corporation Act in conjunction with Section 278(3) Stock Corporation Act

1. Object of deadline	Requests for additions to the agenda pursuant to Section 122(2) Stock Corporation Act in conjunction with Section 278(3) Stock Corporation Act
2. Applicable issuer deadline	May 09, 2021, 24:00 hrs CEST Format of the EU-Regulation: 20210509, 22:00 hrs UTC

F. Specification of the Deadlines Regarding the Exercise of Other Shareholders Rights – Counterproposals of Shareholders

1. Object of deadline	Submission of counterproposals of shareholders pursuant to Section 126 Stock Corporation Act in conjunction with Section 278(3) Stock Corporation Act
2. Applicable issuer deadline	May 25, 2021, 24:00 hrs CEST Format of the EU-Regulation: 20210525, 22:00 hrs UTC

F. Specification of the Deadlines Regarding the Exercise of Other Shareholders Rights – Election Proposals of Shareholders

1. Object of deadline	Submission of election proposals of shareholders pursuant to Section 127 Stock Corporation Act in conjunction with Section 278(3) Stock Corporation Act
2. Applicable issuer deadline	May 25, 2021, 24:00 hrs CEST Format of the EU-Regulation: 20210525, 22:00 hrs UTC

F. Specification of the Deadlines Regarding the Exercise of Other Shareholders Rights – Submission of Questions by Electronic Means

1. Object of deadline	Submission of questions by electronic means pursuant to Section 1(2) sentence 1 no. 3, sentence 2 COVID-19 Act
2. Applicable issuer deadline	June 7, 2021, 24:00 hrs CEST Format of the EU-Regulation: 20210607, 22:00 hrs UTC

F. Specification of the Deadlines Regarding the Exercise of Other Shareholders Rights – Submission of Objections Against Resolutions of the General Meeting

1. Object of deadline	Submission of objections against resolutions of the General Meeting pursuant to Section 245 no. 1 Stock Corporation Act in conjunction with Section 278(3) Stock Corporation Act in conjunction with Section 1(2) sentence 1 no. 4 COVID-19 Act
2. Applicable issuer deadline	On the day of the General Meeting (June 9, 2021) from the opening of the General Meeting until its closing by the Chair of the General Meeting Format of the EU-Regulation: 20210609, until the closing of the General Meeting by the Chair of the General Meeting

F. Specification of the Deadlines Regarding the Exercise of Other Shareholders Rights – Submission of Statements for Publication prior to the General Meeting (Voluntary Service of the Company)

1. Object of deadline	Submission of statements for publication prior to the General Meeting (voluntary service of the company)
2. Applicable issuer deadline	June 6, 2021, 24:00 hrs CEST Format of the EU-Regulation: 20210606, 22:00 hrs UTC

F. Specification of the Deadlines Regarding the Exercise of Other Shareholders Rights – Follow-up Questions During the General Meeting Regarding Questions That are Properly Submitted in Advance (Voluntary Service of the Company)

1. Object of deadline	Follow-up questions during the General Meeting regarding questions that are properly submitted in advance (voluntary service of the company)
2. Applicable issuer deadline	On the day of the General Meeting (June 9, 2021) for a specific period during the General Meeting Format of the EU-Regulation: 20210609

CEST = Central European Summer Time

UTC = Coordinated Universal Time

n/a = not applicable

COVID-19 Act = Act on Measures in Company, Cooperative, Association, Foundation and Residential Property Law to Combat the Effects of the COVID-19 Pandemic, published as Article 2 of the Act to Mitigate the Consequences of the COVID-19 Pandemic in Civil, Insolvency and Criminal Proceedings Law of March 27, 2020 (*BGBI. I 2020*, p. 569), extended and amended by Article 11 of the Act on the Further Shortening of Residual Debt Exemption Proceedings and the Adjustment of Pandemic-related Regulations in Company, Cooperative, Association and Foundation Law as well as Tenant and Leasehold Law of December 22, 2020 (*BGBI. I 2020*, p. 3328)

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