

Memberships in domestic supervisory boards to be established by law

DWS Group GmbH & Co. KGaA, Frankfurt/Main

Memberships in comparable supervisory bodies

None



Margret Suckale

Place of residence: Hamburg, Germany

First appointment: 2018

Appointed until: 2023

Personal

Year of birth: 1956

Nationality: German

Position

Supervisory Board member

Professional career

- 2011 – 05/2017 Member of the Executive Board of BASF SE, Ludwigshafen
- 2009 – 2011 Senior Vice President, Global HR
Executive Management & Development, BASF SE, Ludwigshafen
- 2008 – 2009 Member of the Management Board for Human Resources
and Services, Deutsche Bahn Mobility & Logistics AG, Berlin
- 2005 – 2008 Member of the Management Board for Human Resources

and Services Deutsche Bahn AG, Berlin

2004 – 2005 Division Head Central Staff Functions, Deutsche Bahn AG, Berlin

1997 – 2004 Division Head Central Legal Department, Deutsche Bahn AG, Berlin

1996 – 1997 Joint Venture Mobil/BP, Integration Team, Mobil Europe Ltd.,
London/UK

1991 – 1996 Various roles in Human Resources for subsidiaries of Mobi
Corporation in Europe

1985 – 1991 Legal Counsel for Marketing, Distribution, Contract, Competition and Antitrust
Law, Employment Law, Supply and Distribution, Mobil Oil AG, Hamburg

Education

2002 Executive Master of European and International
Law, University of St. Gallen, Switzerland

2001 Executive Master of Business Administration at WHU
Vallendar and Kellogg School of Management, Illinois, USA

1985 Second State Exam in Law

Memberships in domestic supervisory boards to be established by law

DWS Group GmbH & Co. KGaA, Frankfurt/Main
Deutsche Telekom AG, Bonn
HeidelbergCement AG, Heidelberg
Infineon Technologies AG, Neubiberg

Memberships in comparable supervisory bodies

None



Kazuhide Toda

Place of residence: Tokyo, Japan

Personal

Year of birth: 1963
Nationality: Japanese

Position

Senior Fellow of Nippon Life Insurance Company, Japan

Professional career

03/2023 – today	Senior Fellow, Global Insurance Business Dept. Nippon Life Insurance Company, Japan
07/2022	Managing Executive Officer Nippon Life Insurance Company, Japan
07/2021 – today	Non-Executive Director MLC Limited, Australia
07/2021	Director and Managing Executive Officer Nippon Life Insurance Company, Japan
03/2021	Managing Executive Officer Nippon Life Insurance Company, Japan

03/2020	Managing Executive, Executive Director MLC Limited, Australia
03/2020	Executive Officer Nippon Life Insurance Company, Japan
03/2019	Director and Managing Executive Officer and Chief Investment Officer Nippon Life Insurance Company, Japan
07/2018	Director and Executive Officer and Chief Investment Officer Nippon Life Insurance Company, Japan
03/2018	Executive Officer and Chief Investment Officer Nippon Life Insurance Company, Japan
03/2015	Executive Officer, Head of Asia Pacific (Singapore) Nippon Life Insurance Company
03/2014	General Manager for Asia Pacific (Singapore) Nippon Life Insurance Company
03/2012	General Manager, 1st Corporate Finance Dept. Nippon Life Insurance Company, Japan
03/2009	General Manager, International Planning & Operation Dept. Nippon Life Insurance Company, Japan
03/2007	General Manager, Credit & Alternative Investment Dept. Nippon Life Insurance Company, Japan
03/2006	General Manager, Corporate Planning and Administrative Dept. Head of Corporate Planning Office and Head of Public Communications Office Nissay Asset Management Corporation, Japan
04/1986	Joined Nippon Life Insurance Company

Education

1983 Bachelor of Economics, Sophia University, Tokyo, Japan

Memberships in domestic supervisory boards to be established by law

None

Memberships in comparable supervisory bodies

Non-Executive Director, MLC Limited, Sydney, Australia



Professor Dr. Christina E. Bannier

Place of residence: Bad Nauheim, Germany

Personal

Year of birth: 1974
Nationality: German

Position

Professor of Banking & Finance, Justus-Liebig-University Giessen

Professional career

2016 – today	Professor of Banking & Finance Justus-Liebig-University Giessen
2013 – 2016	Professor of Corporate Finance Gutenberg-University Mainz
2011 – 2013	Head of Finance Department, Frankfurt School of Finance & Management,
2007 – 2013	Professor of Corporate Finance, Commerzbank Endowed Chair of SME-Finance Frankfurt School of Finance & Management
2006 – 2007	Interim-Professor of Financial Market Theory

	Leibniz-University Hannover
2002 – 2006	Assistant Professor of Finance Goethe-University Frankfurt
2002	Research Assistant, Center for Financial Studies, Goethe-University Frankfurt
1999 – 2002	Research Assistant, University of Kassel

Education

2006	Habilitation, Goethe-University Frankfurt
2002	PhD (Dr. rer. pol.), Kassel-University,
1999	Diplom, Liebig-University Giessen

Memberships in domestic supervisory boards to be established by law

Eurex Clearing AG, Frankfurt/Main
Clearstream Banking AG, Frankfurt/Main

Memberships in comparable supervisory bodies

None

Total number of shares and voting rights

At the time of convocation of this General Meeting, the Company's share capital is divided up into 200,000,000 no-par value bearer shares, each of which confers one vote on its holder. At the time of convocation of this General Meeting, the Company holds no treasury shares. Therefore, the total number of shares bearing participation and voting rights amounts to 200,000,000.

General Meeting without the physical presence of shareholders or their authorized representatives (proxies) at the place of the General Meeting (Virtual General Meeting)

The General Partner, with the consent of the Supervisory Board, has decided according to Section 26n (1) of the introductory act to the German Stock Corporation Act to hold the Annual General Meeting 2023 of DWS Group GmbH & Co. KGaA as a virtual general meeting without physical attendance of the shareholders or their representatives (except for authorized representatives appointed by the Company (proxies)) at the place of the General Meeting.

The holding of the General Meeting as virtual general meeting is subject to the new law stipulated in Section 118a German Stock Corporation Act and further new law, introduced by the German act on the introduction of virtual shareholders' meetings at stock corporations and amendment of cooperative and insolvency and restructuring regulations (Federal Law Gazette I No. 27 2022, p. 1166 et seq.) that came into force on July 27, 2022.

The General Meeting takes place with the physical attendance of the Chairman of the General Meeting, the Managing Directors of the General Partner and the members of the Supervisory Board of the Company as well as the notary appointed to minute the General Meeting and the authorized representatives of the Company at Congress Center of Messe Frankfurt, Ludwig-Erhard Anlage 1, 60327 Frankfurt/Main. This is the place of the General Meeting according to Section 121 (3) sentence 1 Stock Corporation Act. The physical attendance of the shareholders or their representatives (excluding the proxies appointed by the Company) at the place of the General Meeting is excluded.

Since holding the General Meeting as a virtual general meeting pursuant to the new German law leads to certain modifications in relation to the procedure of the meeting and how shareholders exercise their rights, i.e. in comparison to a physical general meeting as well as in comparison to a general meeting held on the basis of the special law in connection with the COVID-19 pandemic, we ask our shareholders to consider the following information, in particular on the opportunity to follow the General Meeting through sound and vision, on the registration, exercise of the voting right, the right to request information and further shareholders' rights.

Audio-visual broadcast of the General Meeting

The entire General Meeting will be broadcast live on the Internet in audio and visual form beginning at 10:00 CEST on June 15, 2023, at

<https://group.dws.com/ir/annual-general-meeting>

for all shareholders and interested members of the public.

Access to the shareholder portal and electronic participation in the General Meeting

The Company offers the shareholders access to the shareholder portal under <https://group.dws.com/ir/annual-general-meeting>.

By means of the shareholder portal, all duly registered shareholders or their representatives are able to exercise certain shareholders' rights, in particular the voting right (either via absentee vote by means of electronic communication or by granting a power of attorney and voting instruction to the proxies appointed by the Company). Further details are described in the following sections.

When the secure shareholder portal is used during the course of the Virtual General Meeting on June 15, 2023, i.e. from the opening of the General Meeting until its closing by the Chairman of the General Meeting, the shareholders or representatives are electronically participating the Virtual General Meeting in the sense of Section 121 (4b) sentence 1 Stock Corporation Act.

During the course of their participation in the Virtual General Meeting via the secure shareholder portal, the shareholders who are participating electronically or the representatives participating electronically are included in the list of participants as electronically participating persons (representatives under disclosure of the principal shareholder, if applicable). During the Virtual General Meeting, the list of participants is made available via the secure shareholder portal in any case prior to the first voting to all duly registered shareholders and representatives who are participating electronically in the General Meeting.

The access data for the secure shareholder portal is submitted to the shareholders together with the confirmation of registration (cf. section "confirmation of registration"). If the shareholder grants power of attorney to a third person who is not a proxy appointed by the Company, it is necessary to timely forward the access data to the secure shareholder portal to ensure that shareholders' rights can be exercised (see below section "Exercise of voting rights by authorized representatives").

Registration for the General Meeting and exercise of voting rights; confirmation of registration

Registration and confirmation of share ownership

Pursuant to Section 22 (1) of the Articles of Association, only those shareholders who register with the Company and prove their eligibility in due time are entitled to participate in the General Meeting and exercise the voting right. As evidence of authorization, a separate confirmation of the shareholding issued in text form by the depository bank is sufficient (Section 22 (2) sentence 1 of the Articles of Association). The confirmation must relate to the beginning of the 21st day before the General Meeting, in other words May 25, 2023, 0:00 CEST (the "record date"); confirmation pursuant to Section 67c (3) Stock Corporation Act is sufficient but not necessary.

Pursuant to Section 22 (3) sentence 1 of the Articles of Association, the registration and the confirmation of eligibility must be in German or English and must be received by the Company at the address below by no later than 24:00 CEST on June 8, 2023.

DWS Group GmbH & Co. KGaA
c/o Deutsche Bank AG
Securities Production
General Meetings
Postfach 20 01 07
60605 Frankfurt am Main
Telefax: +49 69 1201286045
E-mail: wp.hv@db-is.com

In relation to the Company, in accordance with Section 123 (4) sentence 5 in conjunction with Section 278 (3) Stock Corporation Act, a shareholder will only be deemed a shareholder entitled to participate in the General Meeting and exercise the voting right if the shareholder has duly submitted the confirmation of share ownership. The number of votes is determined on the sole basis of the shareholding confirmed as of the record date. The record date does not give rise to a blocking period during which it is prohibited to dispose of shares. Even in the event of a full or partial disposal of the shareholding following the record date, only the shares held by the shareholder on the record date will be relevant for the number of votes, i.e., a disposal of shares after the record date will not affect the number of the votes. This applies mutatis mutandis if (additional) shares are acquired after the record date. Persons who do not hold any shares on the record date and become shareholders only after the record date are not entitled to exercise voting rights unless they have obtained a power of attorney to do so or an authorization to exercise such rights. The record date is not relevant with respect to the entitlement to dividends.

Confirmation of registration

After receipt of a duly completed registration and confirmation of share ownership from a shareholder (see above), the shareholder will be issued a confirmation of registration for the Virtual General Meeting. To ensure that confirmations of registration are received in a timely manner, we request that shareholders register and send their confirmation of share ownership to the Company in good time. For the avoidance of doubt, please note that confirmations of registration are provided for purely organizational purposes and do not constitute additional conditions for the exercise of shareholder rights. However, the confirmation of registration includes the information necessary to vote by means of electronic absentee voting via the secure shareholder portal, to grant an electronic power of attorney and voting instructions to the Company proxies as well as to exercise further shareholders' rights and to electronically participate in the virtual General Meeting. The foregoing does not affect the option to submit an absentee vote, power of attorney and voting instructions in writing or text form without using the shareholder portal (which nevertheless also requires due registration and confirmation of share ownership). Further details are described in the following sections.

Submitting absentee votes

Shareholders may submit the votes through absentee voting. In this case, shareholders must also duly register and provide confirmation of share ownership as set forth above in the section "Registration and confirmation of share ownership".

Absentee votes may be submitted in writing. For absentee votes, please use preferably the form printed on the confirmation of registration sent after due registration and confirmation of share ownership, or a print-out available on the Company's website

<https://group.dws.com/ir/annual-general-meeting>.

The absentee voting in writing must be received at the following address by 18:00 CEST on June 14, 2023 (date of receipt):

DWS Group GmbH & Co. KGaA
c/o Computershare Operations Center
80249 Munich, Germany
Telefax: +49 89 30903-74675
E-mail: anmeldestelle@computershare.de

It is possible for voting decisions sent by means of absentee vote to be revoked and amended in writing by sending a corresponding declaration to the above address by 18:00 CEST on June 14, 2023 (date of receipt).

It is also possible to submit an absentee vote by means of electronic communication via the secure shareholder portal (<https://group.dws.com/ir/annual-general-meeting>) that will still be available for this purpose on the day of the Virtual General Meeting until the closing of the voting by the Chairman of the General Meeting. The Chairman of the General Meeting will give timely notice before the window for doing so closes. The login data indicated on the confirmation of registration (which is sent after due registration and confirmation of share ownership) must be entered to use the secure shareholder portal.

Authorized intermediaries, proxy advisors within the meaning of Section 134a (1) no. 3, (2) no. 3 Stock Corporation Act, shareholders' associations and other persons with equivalent status pursuant to Section 135 (8) Stock Corporation Act, and other authorized representatives (proxies) may also submit absentee votes in accordance with the foregoing provisions.

Exercise of voting rights by proxies appointed by the Company

The Company offers its shareholders the possibility of being represented by Company employees appointed by the Company as proxies to exercise shareholders' voting rights at the Virtual General Meeting. Those shareholders who wish to grant a power of attorney to the proxies appointed by the Company also have to register for the General Meeting and prove their eligibility as set forth above under the section "Registration and confirmation of share ownership". The Company proxies will only vote in accordance with the instructions issued to them by the respective shareholder. To the extent that such express and clear instructions are not issued, the Company proxies will abstain from the vote in question. The power of attorney can be issued and instructions given in text form to the following address by 18:00 CEST at the latest on June 14, 2023 (receipt):

DWS Group GmbH & Co. KGaA
c/o Computershare Operations Center
80249 Munich, Germany
Telefax: +49 89 30903-74675
E-mail: anmeldestelle@computershare.de

It is also possible to grant power of attorney and issue voting instructions to the Company proxies in advance of and also during the General Meeting on June 15, 2023, until the voting commences (the Chairman of the General Meeting will give timely notice before the window for doing so closes) via the shareholder portal (<https://group.dws.com/ir/annual-general-meeting>). The shareholder portal is accessed using the login data indicated on the confirmation of registration sent following due registration and confirmation of share ownership.

Please note that the Company proxies will not accept authorizations or instructions to exercise rights, to ask questions or to speak, submit proposals or ask follow-up questions or file objections to resolutions adopted at the General Meeting.

Exercise of voting rights by authorized representatives

Shareholders may also be represented and have their voting rights exercised by an authorized representative (proxy) – for example an intermediary, shareholders' association, proxy advisor or other third person. In this case, shareholders must also register and provide confirmation of share ownership as set forth above in the section "Registration and confirmation of share ownership". In turn, the authorized representatives may exercise the voting right by absentee vote or by issuing power of attorney and instructions to the proxies.

The issue of the power of attorney, its cancellation and proof of the proxy authorization vis-à-vis the Company generally require text form if the power of attorney to exercise the voting right is not granted to an intermediary, a shareholders' association, a proxy advisor within the meaning of Section 134a (1) no. 3, (2) no. 3 Stock Corporation Act or another person with equivalent status pursuant to Section 135 (8) Stock Corporation Act.

Proof of the proxy authorization vis-à-vis the Company can also be sent electronically to the following e-mail address:

anmeldestelle@computershare.de

If powers of attorney to exercise voting rights are issued to intermediaries, shareholders' associations, proxy advisors within the meaning of Section 134a (1) no. 3, (2) no. 3 Stock Corporation Act or other persons with equivalent status pursuant to Section 135 (8) Stock Corporation Act, the requisite form for these is specified, where appropriate, by the recipients. The details of any specific requirements must be obtained from the person to be authorized in each case.

If a shareholder grants power of attorney to a third person, the use of the secure shareholder portal by the representative requires that the login data is provided to him in due time.

Requesting documents for the General Meeting

Documents for the General Meeting can be requested at the following address:

DWS Group GmbH & Co. KGaA
Investor Relations

Mainzer Landstr. 11-17
60329 Frankfurt am Main
IR-Hotline: +49 69 910-14700
Telefax: +49 69 910-32223
E-mail: investor.relations@dws.com

Documents for and additional information concerning the General Meeting are also available online at <https://group.dws.com/ir/annual-general-meeting>. The documents will also be available at that web address during the General Meeting.

Shareholders' rights

Requests for additions to the Agenda pursuant to Section 122 (2) in conjunction with Section 278 (3) Stock Corporation Act

Shareholders whose aggregate shareholdings represent 5% of the share capital or the proportionate amount of € 500,000 (the latter of which corresponds to 500,000 shares) may request that items be placed on the Agenda and published.

The request must be received by the Company in writing under the following address at least 30 days prior to the General Meeting, in other words at the latest by 24:00 CEST on May 15, 2023:

DWS Group GmbH & Co. KGaA
General Partner
The management of the General Partner, DWS Management GmbH
Mainzer Landstr. 11-17
60329 Frankfurt am Main

Each new item of the Agenda must also include a reason or a resolution proposal. An applicant or applicants making such a request must prove that they have owned their shares for at least 90 days before the date of the receipt of the request by the Company and that they will continue to hold the shares until the decision of the Company's General Partner on the request. The provisions of Sections 70 and 121 (7) Stock Corporation Act, in each case in conjunction with Section 278 (3) Stock Corporation Act, must be observed in determining this period.

The publication and forwarding of requests for additions are carried out – provided it has not taken place together with the convocation – in the Federal Gazette without undue delay after the request has been received mentioning the name and the place of residence of the applicant. In addition,

they are published on the Company's website under <https://group.dws.com/ir/annual-general-meeting> and brought to the attention of the shareholders.

Shareholders' counterproposals and election proposals pursuant to Section 126 (1) and (4), 127, 130a (5) sentence 3, 118a (1) sentence 2 no. 3 in conjunction with Section 278 (3) Stock Corporation Act

Shareholders of the Company may submit counterproposals to proposals of the General Partner and/or the Supervisory Board in respect of specific items of the Agenda, as well as proposals for the election of candidates to the Supervisory Board or the auditors prior to the General Meeting. Such proposals (with their reasons) and election proposals are to be sent solely to:

DWS Group GmbH & Co. KGaA
Investor Relations
Mainzer Landstr. 11-17
60329 Frankfurt am Main
Telefax: +49 69 910-32223
E-mail: investor.relations@dws.com

Counterproposals should stipulate a reason; this does not apply to election proposals.

Shareholders' counterproposals and election proposals that fulfill the requirements and are received at the latest by 24:00 CEST on May 31, 2023 will be made accessible without undue delay through the website <https://group.dws.com/ir/annual-general-meeting> along with the name of the shareholder and, specifically in the case of counterproposals, the reason and, in the case of election proposals, the additional information that may need to be provided by the General Partner pursuant to Section 127 sentence 4 in conjunction with Section 278 (3) Stock Corporation Act, as well as any comments by the management.

The Company is not required to make a counterproposal and its reason or an election proposal accessible if one of the exclusionary elements pursuant to Section 126 (2) in conjunction with Section 278 (3) Stock Corporation Act or Section 127 sentence 1 in conjunction with Section 126 (2) in conjunction with Section 278 (3) Stock Corporation Act exists, for example, because the counterproposal or election proposal would lead to a resolution by the General Meeting that violates the law or the Articles of Association or its reason contains manifestly false or misleading information with regard to material points. Furthermore, an election proposal does not have to be made accessible if the proposal does not contain the name, the current occupation and the place of residence of the proposed candidate, and in case of election proposals for the supervisory board, the proposed candidate's membership on other statutory supervisory boards, cf. Section 127 sentence 3 in conjunction with Section 124 (3) sentence 4 and Section 125 (1) sentence 5 in

conjunction with Section 278 (3) Stock Corporation Act. The reason for a counterproposal does not need to be made accessible if its total length is more than 5,000 characters.

Counterproposals and election proposals to be made available by the Company in advance pursuant to the foregoing provisions are deemed to be submitted at the time it has been made available. The Company ensures that the voting right on such proposals and election proposals can be exercised in the secure shareholder portal as soon as the shareholders have proven that they fulfill the requirements for exercising the voting right stipulated by law and by the Articles of Association of the Company, i.e. as of the record date (May 25, 2023, 0:00 CEST). If the shareholder who is submitting the proposal has not duly registered and not provided confirmation of share ownership, it is not required to deal with the counterproposal or election proposal in the General Meeting.

In addition, shareholders or their representatives who are participating the General Meeting electronically may submit counter proposals, election proposals or other proposals – to the extent permitted – during the General Meeting by way of video communication included in a statement. In this case, a pre-submission of the proposal or election proposal according to Sections 126, 127 Stock Corporation Act is not required. For this purpose, the shareholder must be registered via the secure shareholder portal (<https://group.dws.com/ir/annual-general-meeting>) for a statement; within the statement the shareholder may make his proposal or election proposal. Further information on the procedure established for this purpose, the legal and technical requirements as well as on the authorization of the Chairman of the General Meeting to adequately restrict the right to ask questions and to speak can be found in the section “Right to speak pursuant to Sections 118a (1) sentence 2 no. 7, 130a (5) and (6) in conjunction with Section 278 (3) Stock Corporation Act”.

Right to submit statements pursuant Sections 118a (1) sentence 2 no. 6, 130a (1) to (4) in conjunction with Section 278 (3) Stock Corporation Act

Shareholders who have duly registered for the General Meeting may submit statements on the items of the Agenda in text form by means of electronic communication via the secure shareholder portal, available via the website of the Company (<https://group.dws.com/ir/annual-general-meeting>).

Statements in text form should be limited to 10,000 characters each in length (including spaces) to ensure a proper sorting by the Company.

Statements must be submitted in text form no later than 24:00 CEST on Friday, June 9, 2023. Submitted statements will be made available on the website of the Company (<https://group.dws.com/de/ir/hauptversammlung>) until Saturday, June 10, 2023, 24:00 CEST. Statements will not be translated by the Company.

Please note that the Company reserves the right to refrain from publishing statements if and to the extent the Managing Directors of the General Partner would expose themselves to penalties by making the statement available or if the statement includes information that is obviously wrong, misleading or if it includes insults or if the shareholder expresses that he does not intend to participate in the General Meeting or be represented (Sections 130a (3) sentence 4, 126 (2) sentence 1 nos. 1, 3 and 6 Stock Corporation Act). Further, the Company reserves the right to make statements not available if they are not related to the Agenda of the General Meeting.

The statement will be published on the Company’s website (<https://group.dws.com/ir/annual-general-meeting>) together with the name of the shareholder – provided the shareholder or the representative have not expressly disagreed to such disclosure when submitting the statement.

Please note that questions, counterproposals and election proposals as well as objections must be submitted exclusively via the channels described in the sections “Right to request information pursuant to Sections 118a (1) sentence 2 no. 4, 130a (5) sentence 3, 131 in conjunction with 278 (3) Stock Corporation Act, “Counter proposals and election proposals of shareholders pursuant to Sections 126 (1) and (4), 127, 130a (5) sentence 3, 118a (1) sentence 2 no. 3 in conjunction with Section 278 (3) Stock Corporation Act” and “Objection to resolutions of the General Meeting pursuant to Sections 118a sentence 2 no. 8, 245 sentence 1 no. 1, sentence 2 in conjunction with section 278 (3) Stock Corporation Act”. Accordingly, questions, proposals and election proposals and objections included in a pre-submitted statement will be disregarded.

Right to speak pursuant to Sections 118 (1) sentence 2 no. 7, 130a (5) and (6) in conjunction with Section 278 (3) Stock Corporation Act

Shareholders or representatives who are electronically participating in the General Meeting have the right to speak at the General Meeting by means of video communication. Proposals and election proposals pursuant to Section 118a (1) sentence 2 no. 3 Stock Corporation Act as well as all other relevant requests for information pursuant to Section 131 Stock Corporation Act may be part of a live statement.

The Chairman of the Supervisory Board in his function as Chairman of the General Meeting intends to determine pursuant to Section 131 (1f) Stock Corporation Act that the right of the shareholders to request information in the Virtual General Meeting may only be exercised by means of video communication, i.e. via exercising the right to speak.

From the beginning of the General Meeting, the function in the secure shareholder portal, available via the website of the Company (<https://group.dws.com/ir/annual-general-meeting>), to register for a live statement will be activated. In the course of the registration for a live statement, the shareholders or their representatives are required to enter their names (mandatory field) and

thereby consent that their names are published.

Shareholders or representatives who have registered in the secure shareholder portal will be activated for their live statement in the secure shareholder portal after the Chairman of the General Meeting has called them up by name.

Pursuant to Section 24 (2) sentence 1 of the Articles of Association, the Chairman of the General Meeting directs the proceedings and determines the sequence of speakers and the sequence in which items on the Agenda are dealt. Pursuant to Section 24 (2) sentence 2 of the Articles of Association, he may in the course of the General Meeting determine appropriate restrictions on the speaking time, the time for putting questions and/or the total time available in general for speaking and putting questions or for individual speakers.

For the video communication, shareholders or representatives need a stable internet connection and an internet-capable device with a camera, microphone and audio output. Further details on the technical requirements for live statements can be found on the Company's website under <https://group.dws.com/ir/annual-general-meeting>.

The Company reserves the right to test the functionality of the video communication between the shareholder or representative and the Company in the General Meeting prior to each live statement and may refuse them in case the functionality is not ensured. Independent of this, the Company offers to the shareholders or their representatives on the day before the General Meeting the chance to test the functionality of the video communication for the live statement (non-binding). For this purpose, the button "live statement" will be activated in the secure shareholder portal on June 14, from 10:00 to 12:00 CEST.

Shareholders taking the opportunity of a statement by means of vision and sound should be aware that the entire General Meeting including the respective statement (as described above) will be broadcasted live on the internet for shareholders and the interested public without any access restrictions and the entire General Meeting will be recorded including the statements. Following the General Meeting, it is not planned to make the record available on the Company's website. Please note that the Chairman of the General Meeting will call up the shareholders or representatives admitted to a statement by name.

Right to request information pursuant to Sections 118a (1) sentence 2 no. 4, 130a (5) sentence 3, 131 in conjunction with Section 278 (3) Stock Corporation Act

Within the general meeting, each shareholder may request information from the General Partner regarding the affairs of the Company to the extent this is necessary for the appropriate assessment of the items on the Agenda (cf. Section 131 (1) sentence 1 in conjunction with Section 278 (3) Stock Corporation Act). The obligation to provide information also extends to the Company's legal and

business relations with any affiliated enterprises as well as to the situation of the group and enterprises included in the group financial statement.

To improve the quality of the answers by the Company and the transparency to the shareholders and to enable the shareholders to prepare better for the General Meeting, the General Partner has decided on the basis of Section 131 (1a), (1b) sentence 2 in conjunction with Section 278 (3) Stock Corporation Act that questions of duly registered shareholders and their representatives must be submitted no later than three days prior to the General Meeting at the latest, i.e. by June 11, 2023, 24:00 CEST, by means of electronic communication via the secure shareholder portal, available via the website of the Company (<https://group.dws.com/ir/annual-general-meeting>). Other forms of submission are excluded. Only shareholders who have duly registered and provided proper confirmation of their share ownership may submit questions in this way. After the expiry of the aforementioned deadline, questions may be disregarded.

Duly submitted questions will be made available to all shareholders on the website of the Company (<https://group.dws.com/ir/annual-general-meeting>) and will be answered by the Company no later than one day prior to the General Meeting, i.e. until June 13, 2023, 24:00 CEST. The answers will also be made available on the website of the Company. The questions and the answers will remain available during the entire General Meeting. Provided the answers are continuously available no later than one day prior to the General Meeting and continuously available during the General Meeting, the General Partner may refuse to answer these questions in the General Meeting pursuant to Section 131 (1c) sentence 4 in conjunction with Section 278 (3) Stock Corporation Act.

The General Partner reserves the right to refrain from making question available if and to the extent the Managing Directors of the General Partner would expose themselves to penalties by making the question available or if the question includes information that is obviously wrong, misleading or if it includes insults or if the shareholder expresses that he does not intend to participate in the General Meeting or be represented (Sections 131 (1c) sentence 3, 126 (2) sentence 1 nos. 1, 3 and 6 in conjunction with Section 278 (3) Stock Corporation Act).

In addition, each shareholder who is electronically participating in the General Meeting may ask questions in the General Meeting on matters that have only arisen after expiry of the aforementioned deadline for the submission of questions (June 11, 2023, 24:00 CEST). Further, each shareholder who is participating electronically in the General Meeting may ask follow-up questions in the General Meeting by means of electronic communication regarding all answers given by the General Partner before and in the General Meeting. It is intended that the Chairman of the Supervisory Board in his function as Chairman of the General Meeting, will determine that the right to request information may be exercised in the General Meeting exclusively by way of video communication, i.e. within the framework of the exercise of the right to speak (cf. section "Right to speak pursuant to Sections 118a (1) sentence 2 no. 7, 130a (5) and (6) in conjunction with Section 278 (3) Stock Corporation Act).

When registering for a live statement via the secure shareholder portal (in the course of which the shareholder or representative may exercise the right to request information in the General Meeting), the questioner is required to enter his name (mandatory field). Thereby, the questioner consents that his name is disclosed.

In the course of the publication of questions and answers on the Company's website prior to the General Meeting, the name of the questioner will only be disclosed if the questioner has provided consent that the name may be disclosed when submitting the question via the secure shareholder portal. In both cases, there is no claim of the questioner that his name is disclosed.

Section 131 (4) sentence 1 in conjunction with Section 278 (3) Stock Corporation Act stipulates that if information has been provided to a shareholder outside the general meeting in his capacity as a shareholder, it shall be provided to any other shareholder or his representative at his request during the general meeting, even if it is not necessary for the proper assessment of the item on the Agenda. Within the framework of a virtual general meeting, it must be ensured that any shareholder or representative who is electronically participating in the general meeting may submit his request in accordance with Section 131 (4) sentence 1 Stock Corporation Act at his choice either in a live statement via video communication and/or by means of electronic communication via the secure shareholder portal, available via the Company's website (<https://group.dws.com/ir/annual-general-meeting>).

Section 131 (5) sentence 1 in conjunction with Section 278 (3) Stock Corporation Act stipulates that where a shareholder's or his representative's request for information is refused, he may demand that his question and the grounds for refusing to provide information be included in the minutes of the General Meeting. Within the framework of the virtual general meeting it is ensured that each shareholder or representative who is electronically participating in the general meeting may submit his request pursuant to Section 131 (5) sentence 1 Stock Corporation Act at his choice either in a live statement via video communication and/or by means of electronic communication via the secure shareholder portal, available via the Company's website (<https://group.dws.com/ir/annual-general-meeting>). It is ensured that requests pursuant to Section 131 (5) sentence 1 in conjunction with Section 278 (3) Stock Corporation Act submitted via the secure shareholder portal are during the General Meeting directly forwarded to the notary for recording in the minutes.

The report of the General Partner or its essential content will be made available to the shareholders on the Company's website (<https://group.dws.com/ir/annual-general-meeting>) no later than seven days prior to the General Meeting, i.e. by June 7, 2023, 24:00 CEST. In addition, the Company intends to make the speech of the Chairman of the Supervisory Board or its essential content available on the Company's website (<https://group.dws.com/ir/annual-general-meeting>) no later than June 7, 2023, 24:00 CEST, to enable the shareholders to exercise their shareholder rights on a broad information basis. Modifications for the day of the General Meeting remain reserved.

Objection to resolutions of the General Meeting recorded in the Minutes pursuant to Sections 118a sentence 2 no. 8, 245 sentence 1 no. 1, sentence 2 in conjunction with section 278 (3) Stock Corporation Act

Shareholders or representatives who are electronically participating in the General Meeting may file objections to resolutions of the General Meeting by means of electronic communication. Such declaration can be e-mailed to the notary under

Notar.DWS.HV2023@hoganlovells.com

from the opening of the General Meeting until its closure by the Chairman of the General Meeting. The declaration must include a confirmation of share ownership, i.e. the access number printed on the confirmation of registration that is sent after due registration and proof of share ownership.

Additional information

Additional information on shareholders' rights can be found on the Company's website at <https://group.dws.com/ir/annual-general-meeting>.

Notice on the Company's website

The information pursuant to Section 124a Stock Corporation Act in conjunction with Section 278 (3) Stock Corporation Act with respect to this year's Annual General Meeting is accessible on the Company's website at <https://group.dws.com/ir/annual-general-meeting>. Following the General Meeting, the voting results will be announced at the same Internet address.

Privacy notice for shareholders and their representatives

Information on the processing of your personal data in connection with the General Meeting is available at <https://group.dws.com/ir/annual-general-meeting>. Upon request, this can also be sent to you per post.

Frankfurt am Main, April 2023

DWS Group GmbH & Co. KGaA,
represented by:
DWS Management GmbH, its General Partner

The Managing Directors (Executive Board)